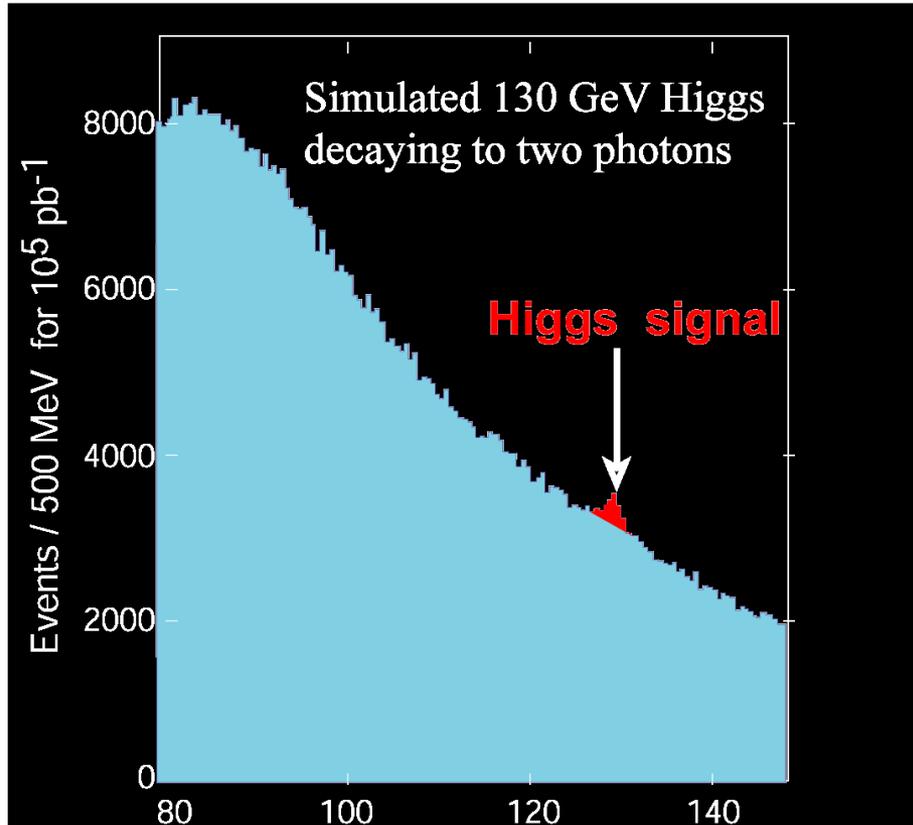


Calorimetry Lecture 3: Using Calorimeter Information



Jane Nachtman
University of Iowa

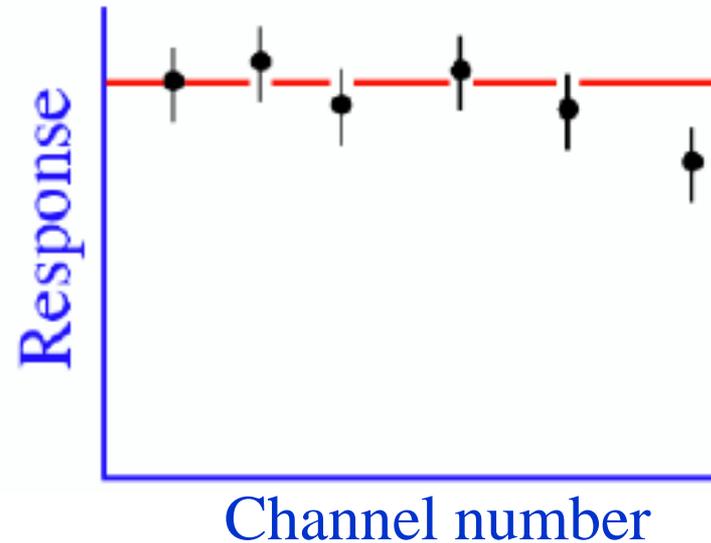
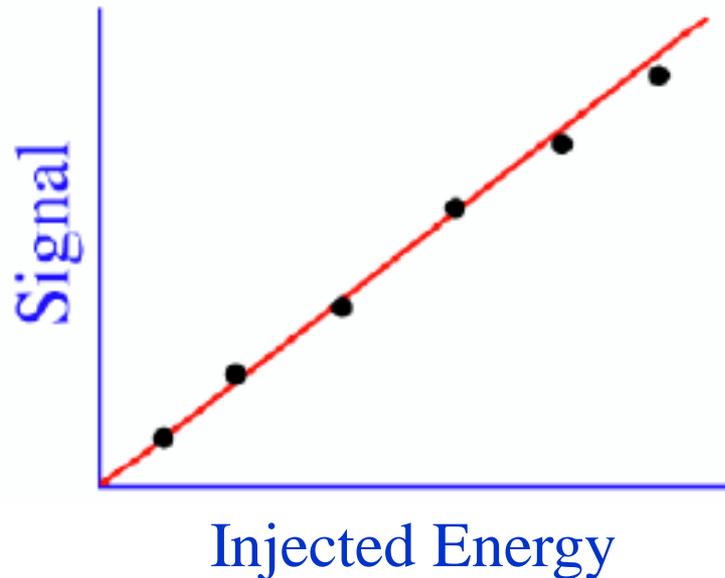
CERN/Fermilab
summer school
August 19, 2010

Thanks to Beate Heinemann, Chris Tully, Joanna Weng, ICHEP speakers!

Topics in Lecture 3

- Using calorimeter information
 - Calibration
 - Complementarity of tracking and calorimetry
- Reconstruction of jets
 - Algorithms
 - Jet Energy Corrections

Calibration and Linearity



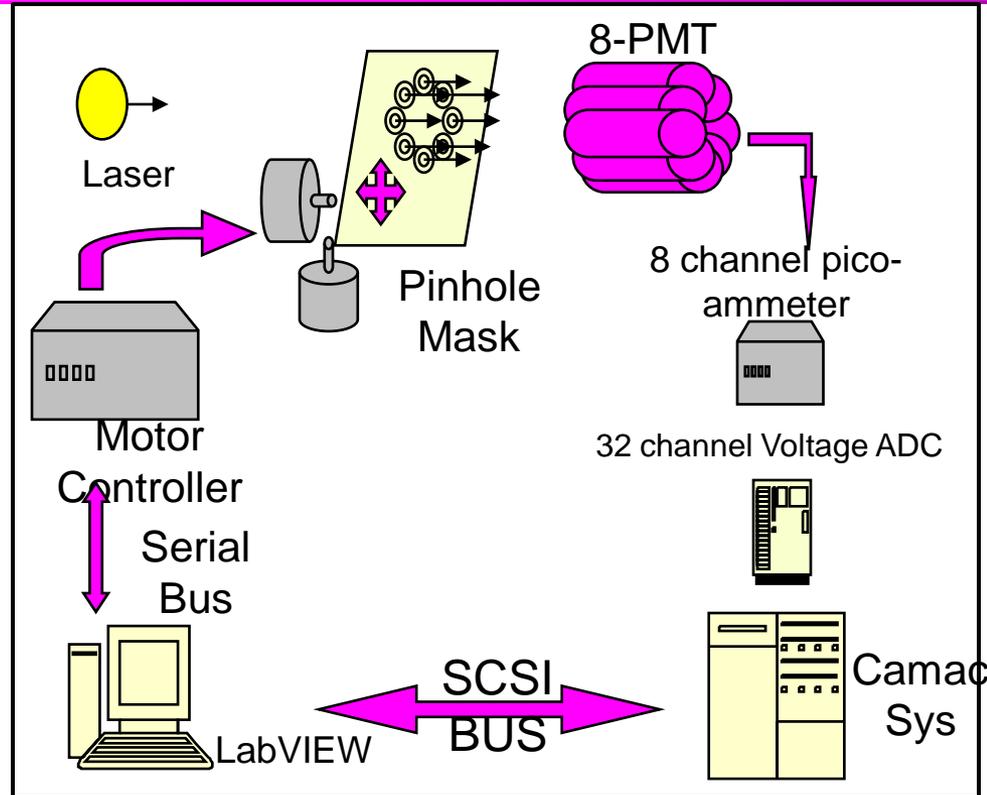
- Goal : uniform and known response to a given calorimeter signal
- For example, signal (charge) from detector is in pC, digitized to ADC counts
 - want linear response
 - channel-to-channel differences : leakage, upstream material, electronics
- Calibrations:
 - Relative calibration normalizes the response between all channels
 - Absolute calibration translates it to energy units (from ADC counts)₃
- How-to : testbeam, electronics calibration, in-situ, simulation

To get to physics, first must calibrate

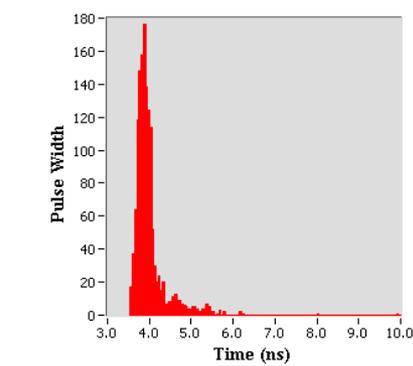
- Component calibration
 - For example, all PMT's are tested standalone
- Testbeam – operate detector (or part of) in a known-energy, known-species beam
 - In addition to R&D for new detectors, provide a testbench for the final modules of the calorimeter
- In-situ calibration
 - Pulse detector with known energy, measure response
 - Cosmic muons, single particles
- Physics object calibration
 - “tag and probe”, dijet balance, photon+jet balance, W in top events

Component testing and calibration

- Example – PMT's for CMS HCAL (HF)
 - Test station – dark box, laser input
 - Individual testing, relative calibration
 - PMT's characterized, data put into database for later calibration input:
 - Double-pulse linearity,
 - Gain vs HV
 - Single photoelectron spectrum
 - X-Y scan (spatial uniformity)
 - Lifetime, pulse width, rise time
 - Transit time and spread
 - Anode dark current
 - Relative gain coupled with cathode sensitivity
 - Pulse linearity
 - Quality control decision
- All (or as many as possible) components of detector are calibrated long before they are integrated into detector

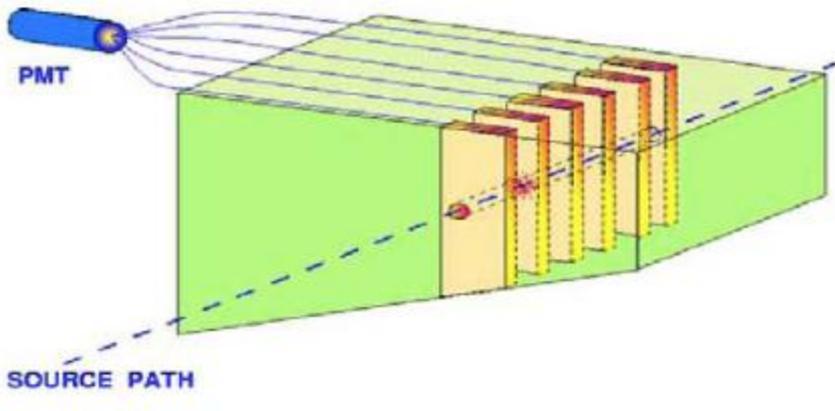


Pulse width
for 1550
PMT's

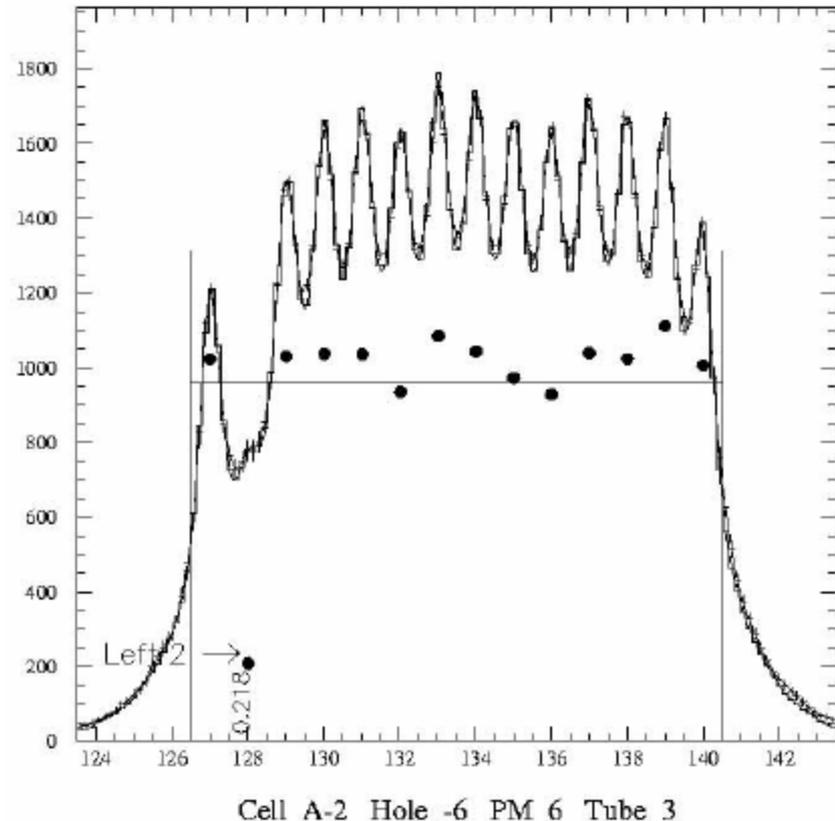


In-situ Detector/Electronics Calibration

- Example: inject known-energy pulse (eg from radioactive source or laser), then normalize readout of all channels
- Example: Atlas and CMS -- similar methods:



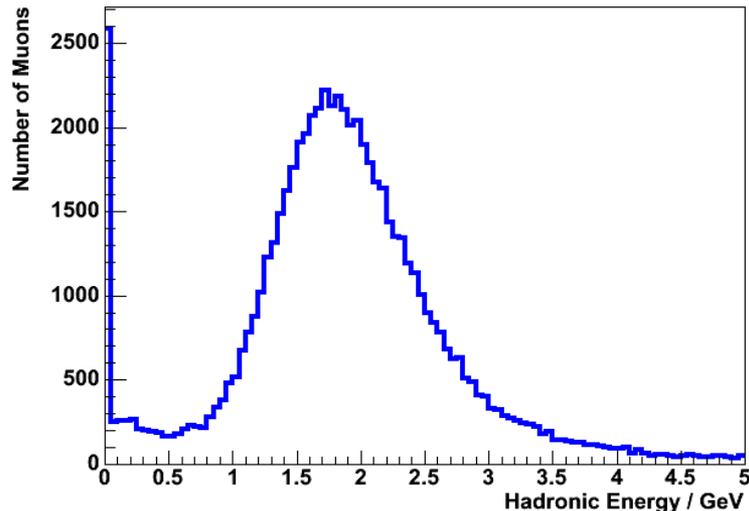
Atlas Source Path



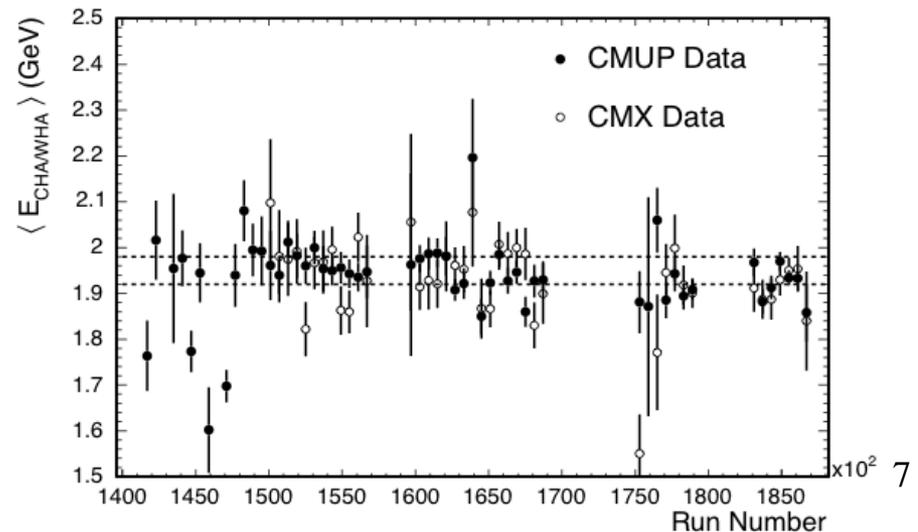
Response by location

Calibration with Muons

- Use muons from cosmic rays, testbeam, or physics events
 - Will give MIP response in calorimeter cell
 - Equalize channel-to-channel response

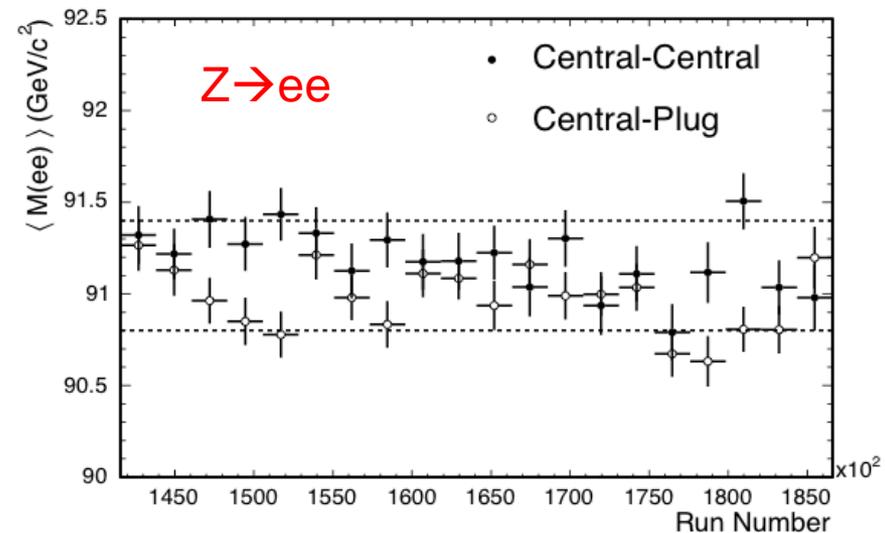
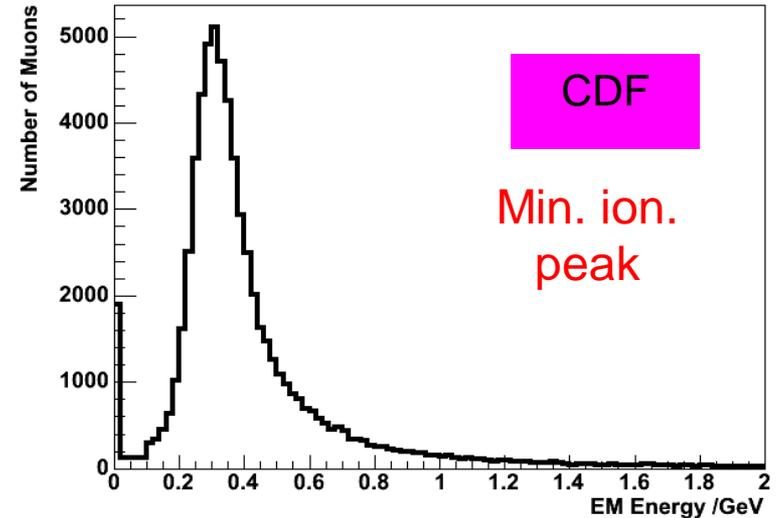


- CDF:
 - select muons from J/ψ and W
 - peak in HAD calo: ≈ 2 GeV (in CDF)
- Check time stability



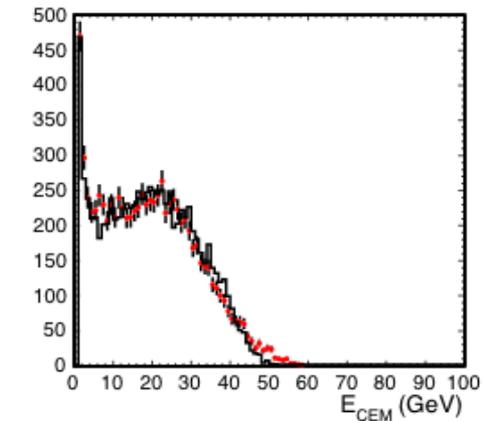
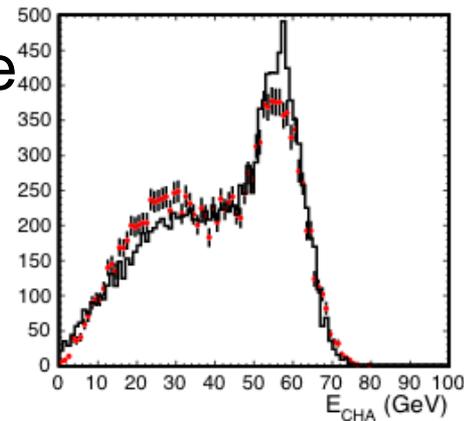
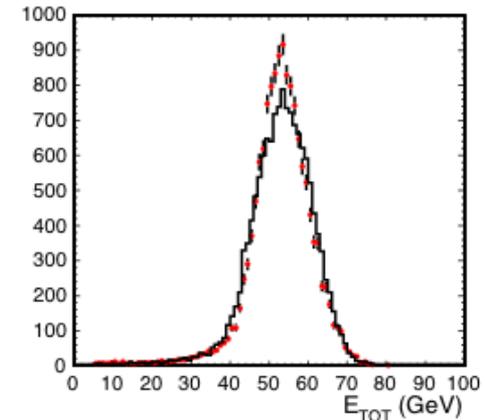
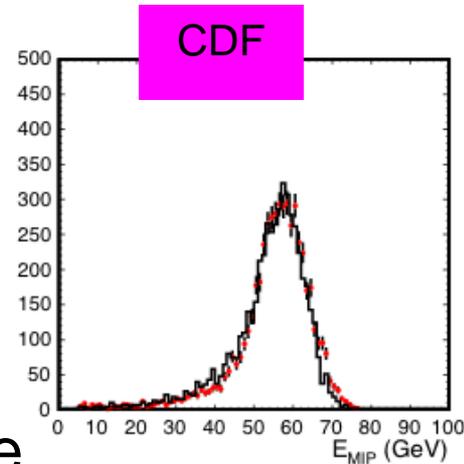
In Situ Calorimeter Calibration: EM Energy

- MIP peak:
 - CDF → 300 MeV
- $Z \rightarrow ee$ peak:
 - Set absolute EM scale in central and endcap
- E/p for electrons
 - After having calibrated p and material, see response in E



Single Particle Response Simulation

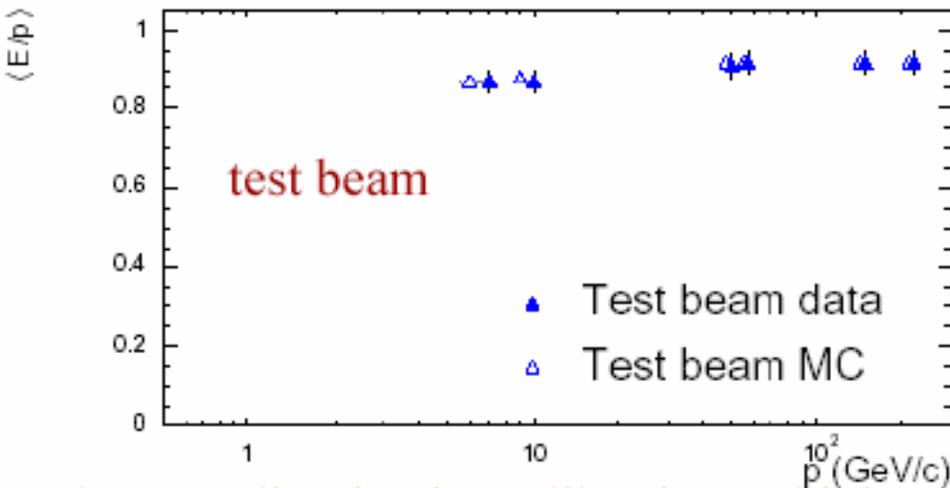
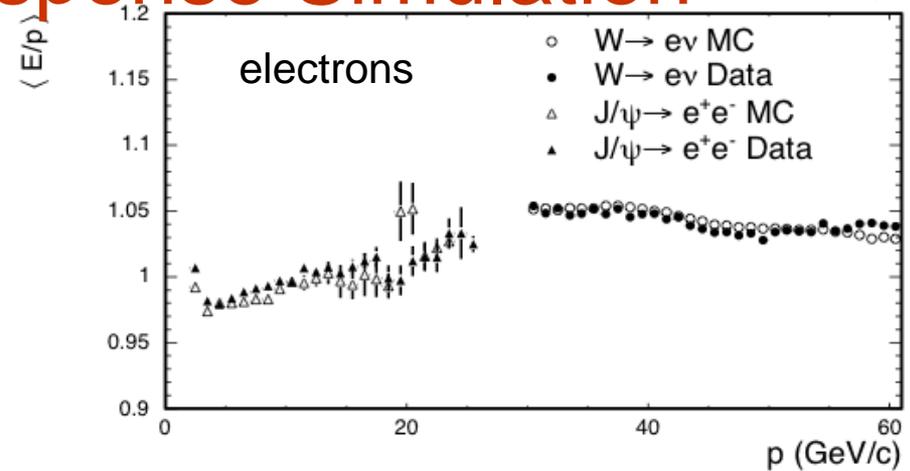
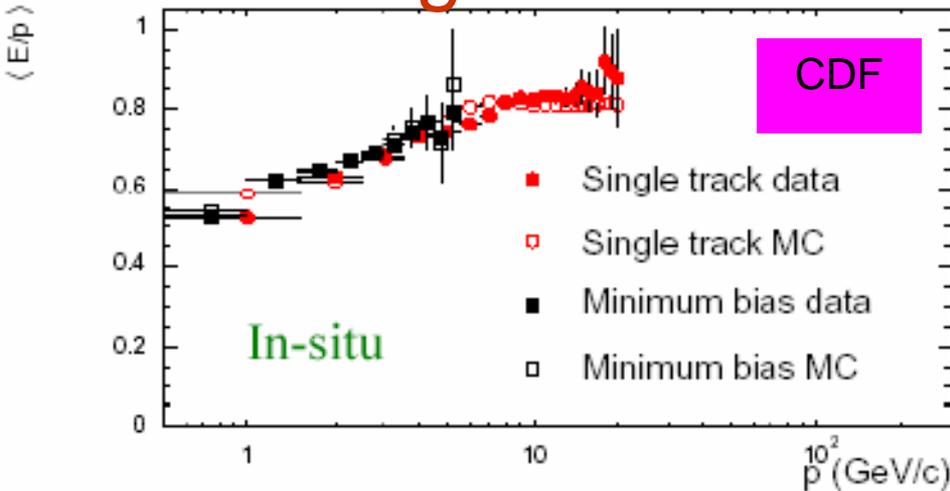
- Single particle response:
 - Measure with test beam
 - In situ:
 - Select “isolated” tracks and measure energy in tower behind them
 - Tune simulation to describe E/p distributions at each p (use $\pi/p/K$ average mixture in MC)



• Test beam data

— CDF simulation

Single Particle Response Simulation



Typical jet composition:

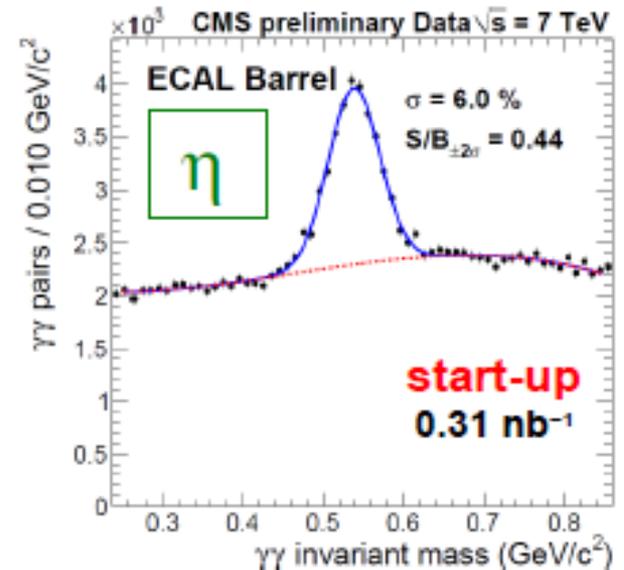
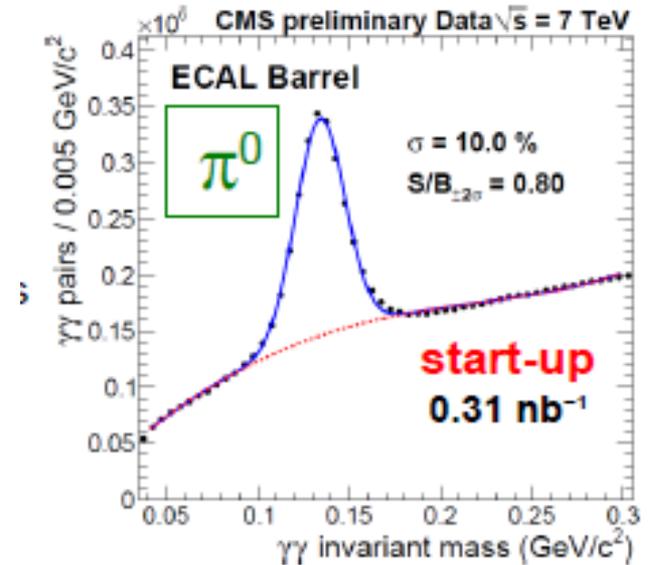
- 60% charged particles
- 10% protons
- 90% pions
- 30% neutral pions ($\rightarrow \gamma\gamma$)
(EM response)
- 10% other (neutrons,...)

- MC models

- Hadron response at low p_T (in situ data) and high p_T (test beam data)
- Electron response

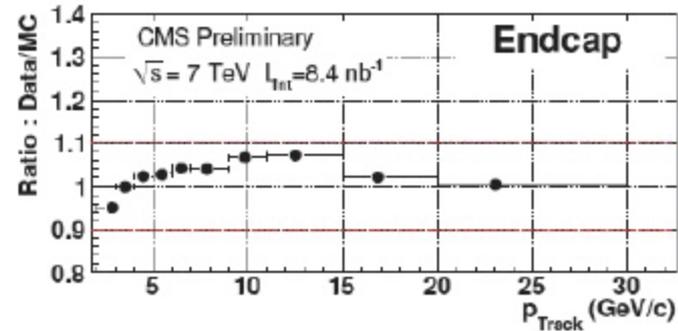
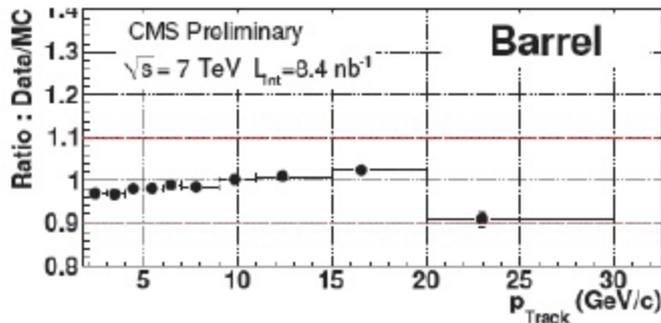
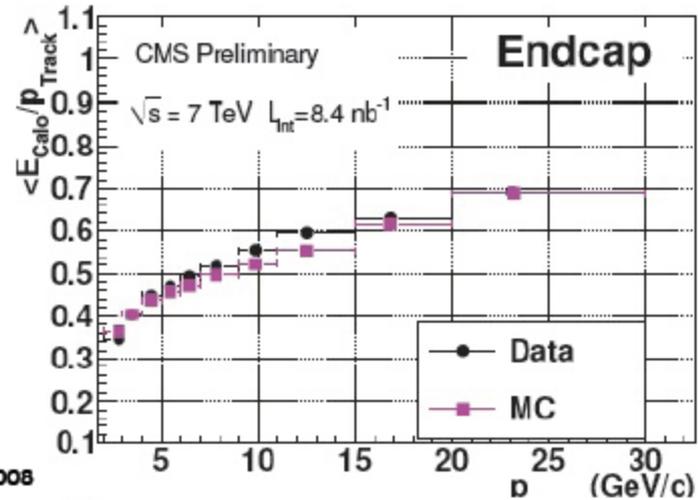
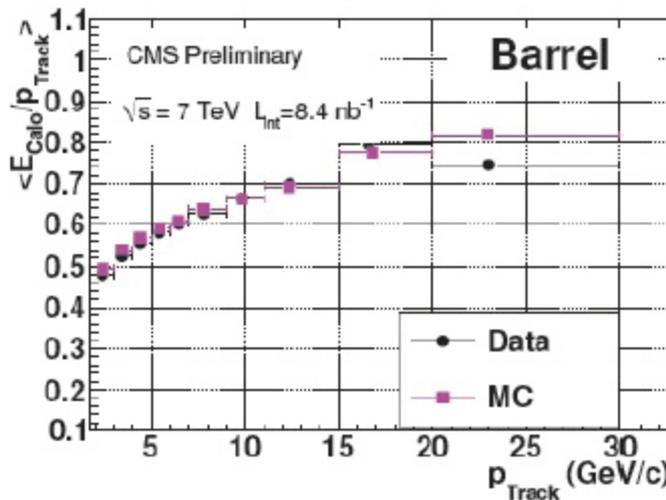
CMS ECAL calibration

- Startup calibration based on 10 years of test beam and cosmic ray pre-calibration, π^0 calibration
- Precision of startup calibration
 - ECAL Barrel 0.5 – 2.2%
 - 1.2% in central region
 - ECAL Endcap 5%
 - Target with 10/pb: 0.5% EB, 1-2% in EE
- Calibration validated by observation of π^0 and $\eta \rightarrow \gamma\gamma$



Single-particle response in CMS

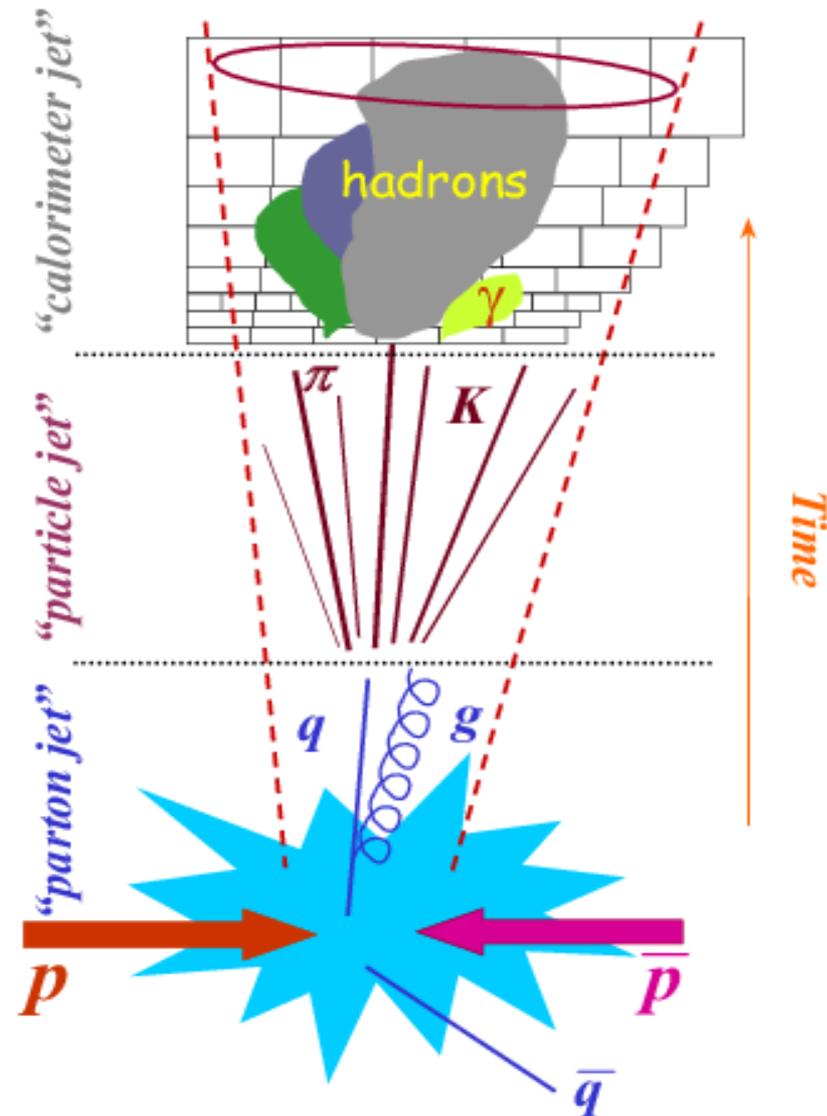
- Compare response of isolated tracks with low ECAL energy in MinBias events with single pions from Monte Carlo



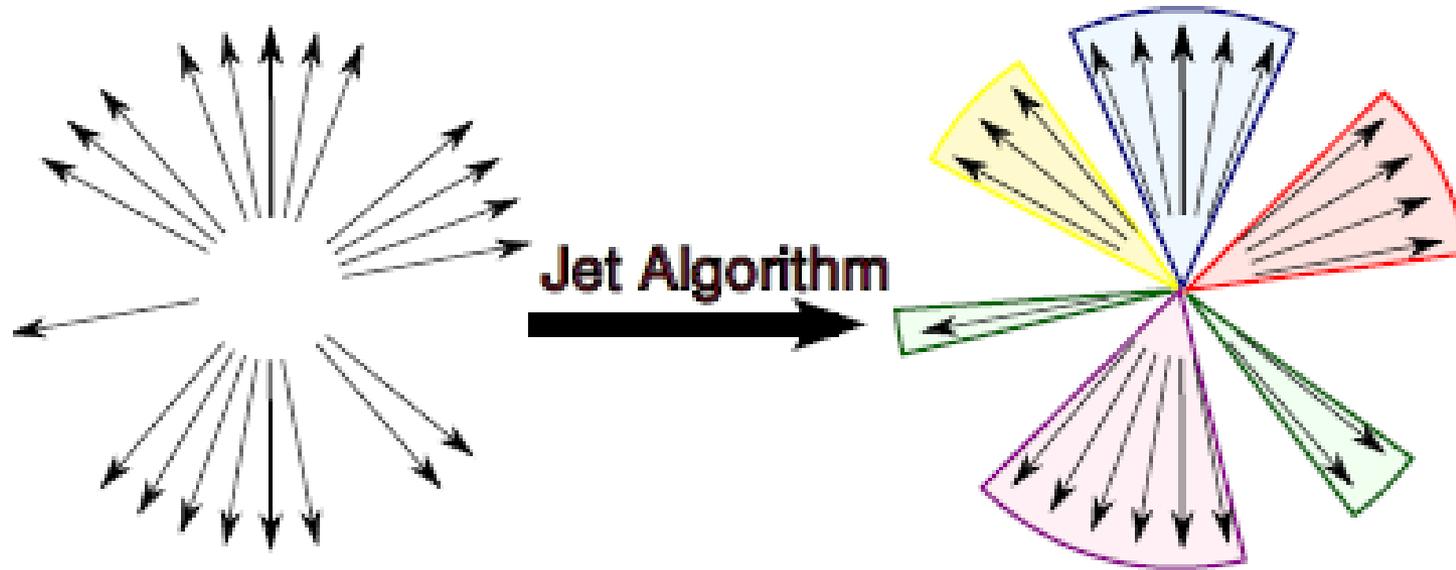
Mean response in Data and MC agree within 2-3% in barrel region
 In endcap, simulation is lower than data (~4%)

Jets from Collisions

- QCD interactions \rightarrow Jets
- Types of Jets
 - \rightarrow Parton level – quarks/gluons from initial collision
 - \rightarrow Hadron level – fragmentation, decay, hadronization produce particles
 - \rightarrow Experimental – what we see in the calorimeter, and how we interpret it
- Goal – take detector information, reconstruct parton level physics



Jet Algorithms

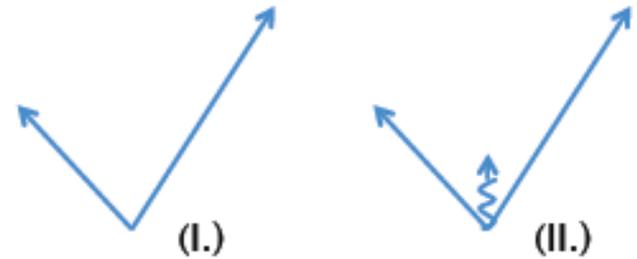


Graphics from
Kerstin Perez,
ISSP 2009

- Procedure to turn recorded detector info into jets
 - Or, looking at it from the other way, turn partons into jets
- Constraints:
 - Infrared and collinear safe (see next slide)
 - Invariant under boost (important for hadron colliders)
 - Independent of level (parton, hadron, calorimeter) and detector
 - Easy to implement and use (computer resources), calibrate

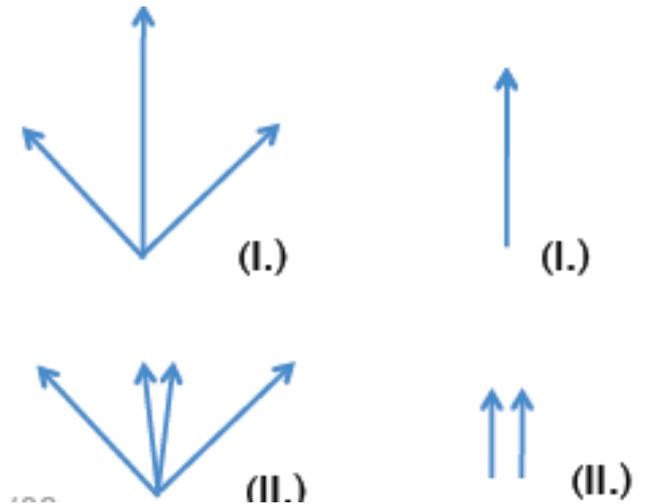
Technical terms

- Infrared safe – same jets even if one of the partons emits a soft gluon



These situations would have the same jets

- Collinear safe – same jets even if outgoing partons split



Jet Algorithms used at Hadron Colliders

- Choice of jet algorithms is an involved topic – theorists and experimentalists have been working together for years to find the perfect scheme
 - True to parton-level
 - True to experimental (detector) level
 - Taking into account detector effects, pileup, etc.
- There are many possible algorithms to choose from – we won't cover them all
 - Here are examples from CMS: **Anti-kT, SISCone and kT** jet algorithms:
 - Then, generator jets, calorimeter jets, calorimeter+track, and particle-flow jets for these jet algorithms

Cone Algorithms

- Cone (traditional)
 - clusters nearby in angular space
 - Problem : seeded – introduces bias especially with pileup
 - Problem : needs merging/overlap scheme, which every experiment implements differently
 - Difficult to compare, feedback to theorists
- If you don't seed the jets, takes $N 2^N$ time to find jets among N particles (“unseeded”)
 - unusable at hadron level (think of “simple” event with 100 particles...)
 - reduce to $N^2 \ln(N)$ time – SIScone algorithm

JADE → Durham → kT

- kT

- Clusters nearby in momentum space
- Based on JADE or Durham algorithm -- exclusive iterative pairwise clustering scheme
 - JADE algorithm uses test variable y_{ij} , and a combination procedure.
 - Test if objects i and j should be combined according to whether $y_{ij} < y_{cut}$.
 - Also, consider next pair to combine (smallest value of y_{ij}).
 - Original JADE $y_{ij} = M_{ij}^2/Q^2$ where Q is the hard scale (i.e. the centre-of-mass in e^+e^- annihilation) and $M_{ij}^2 = 2E_i E_j (1 - \cos \theta_{ij})$, (invariant mass-squared)
 - Repeated until no objects can be combined further
- Problem with JADE – not IR, collinear safe
- Durham mod -- consists of replacing M_{ij}^2 in test variable by k_{Tij}^2 ,
 - $k_{Tij}^2 = 2\min\{E_i, E_j\}^2 (1 - \cos \theta_{ij})$ -- relative transverse momentum-squared of i and j .

kT and anti-kT

- Advantages of kT
 - Jet identification is unique – no merge/split stage
- Disadvantage of kT
 - Resulting jets are more amorphous, energy calibration difficult (subtraction for UE?), and analysis can be very computer intensive (time grows like N^3)
- Anti-kT
 - Like kT, only uses $1/p_T$ as the distance parameter
 - Improves performance with pileup

Testing Jet Definitions

- See this very nice webpage

<http://www.lpthe.jussieu.fr/~salam/jet-quality/>

→ By M. Cacciari, J. Rojo, G.P. Salam, and G. Soyez
[arXiv:0810.1304](https://arxiv.org/abs/0810.1304)

→ You choose two jet algorithms, set the parameters, and it compares dijet mass distributions with your conditions

Your input –
 twice for
 comparison

k_t
 C/A
 anti- k_t
 SISCone
 C/A-filt

Q: R = 0.7

$Q_{T=z}^W$
 $Q_{W=xv/M}^{1/f}$
 x 2

rebin = 2

qq
 gg

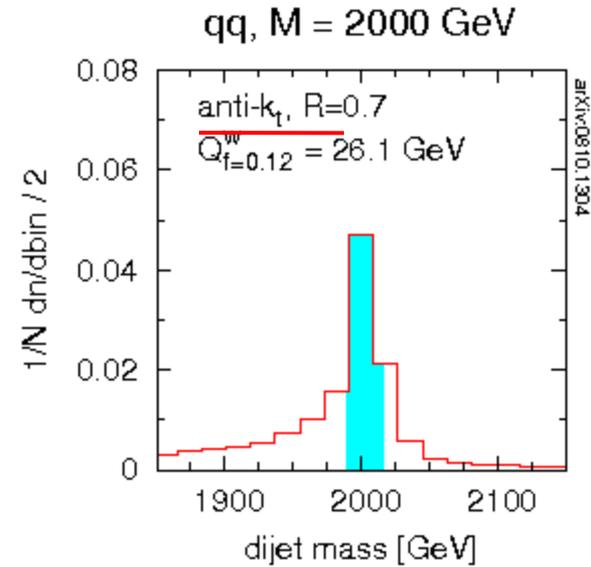
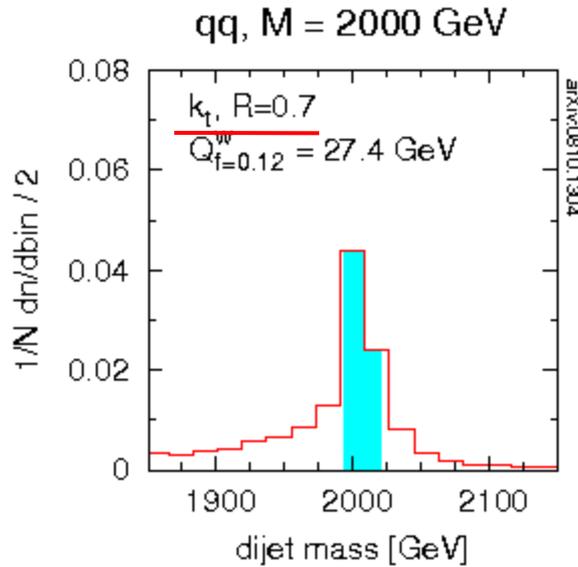
mass = 2000

pileup: none
 0.05
 0.25 mb^{-1}/ev

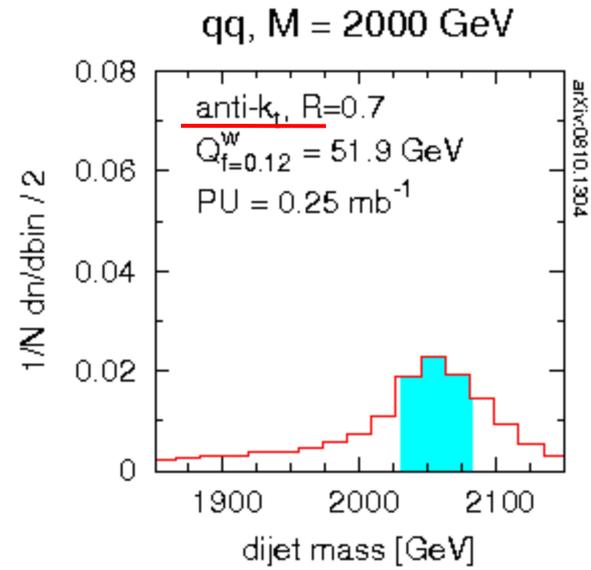
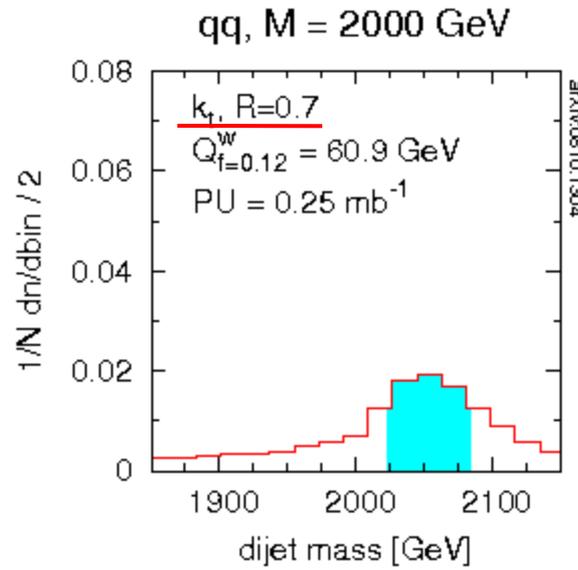
subtraction:

Example: compare kT to anti-kT

Without Pileup



With pileup



More on jet algorithms

- Algorithms often designed from parton point of view
- From the detector point of view
 - What information goes into a jet?
 - Calorimeter, tracking
 - “Energy flow”
 - Jet corrections, systematics
 - Integration into experimental software.

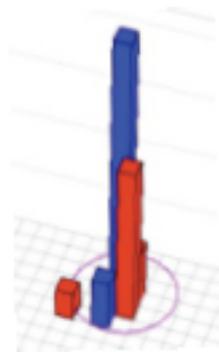
For Example, CMS Jets

- CMS has chosen the anti-kT algorithm, with $R=0.5$, as the default. Then, 4 types of jets reconstructed:

Calorimeter Jets

Jets clustered from ECAL and HCAL deposits (Calo Towers)
Accordingly:

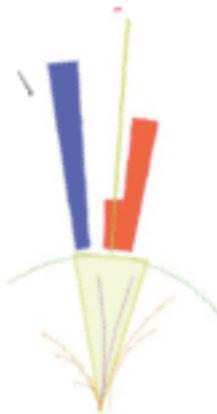
Calo MET



Jet-Plus-Track Jets (JPT)

Subtract average calorimeter response from CaloJet and replace it with the track measurement
Accordingly:

Tc MET

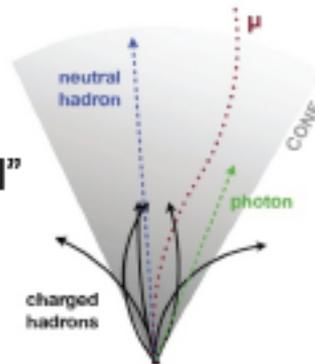


Particle Flow Jets (PF)

Cluster Particle Flow objects:
Unique list of calibrated particles "a la Generator Level"
Accordingly:

PF MET

F. Beaudette
01/22.7 17:15

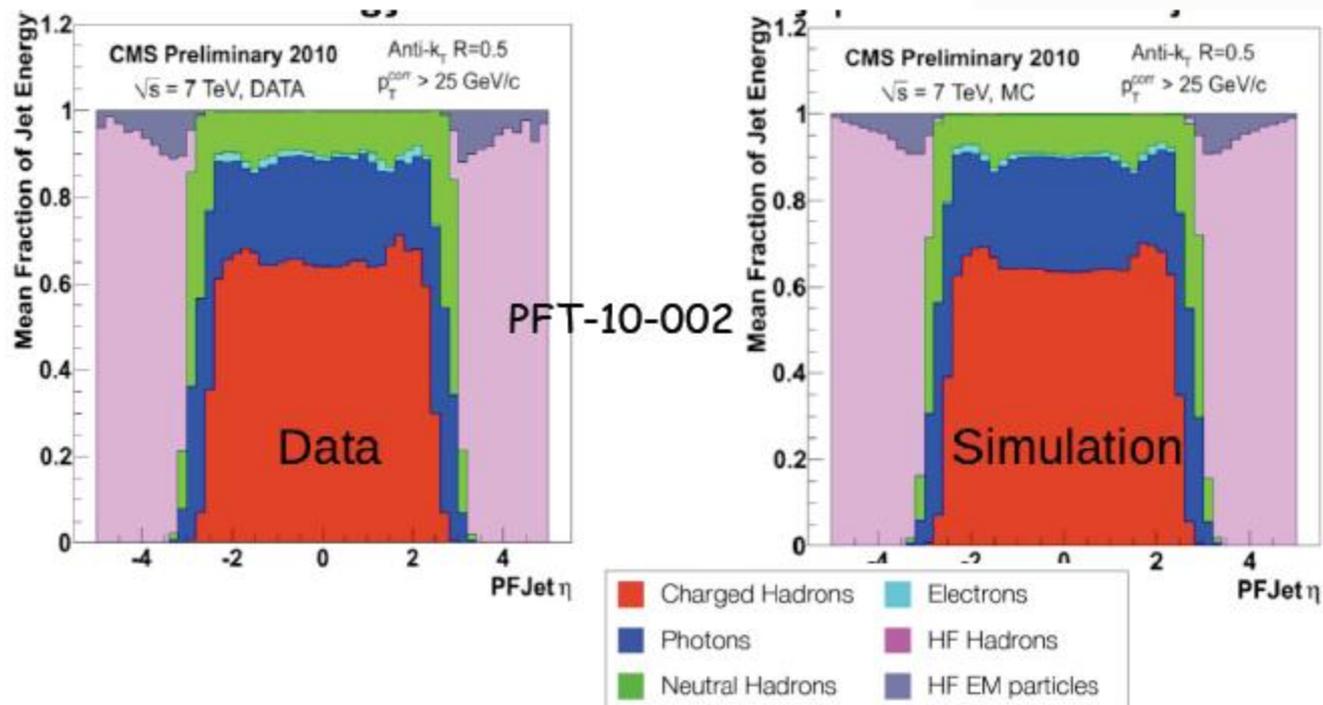


Track Jets

Reconstructed from tracks of charged particles, independent from calorimetric jet measurements

Particle Flow Jets

- Combines info from all subdetectors to produce particles
 - Charged hadrons – from tracks
 - Photons, neutral hadrons from ECAL, HCAL energy
 - Clusters with no tracks
 - Neutral particle overlapping with charged particles – subtract charged pt from cluster, remaining is neutral particle
- jets from resulting particles – charged hadrons and γ are 90% of jet energy

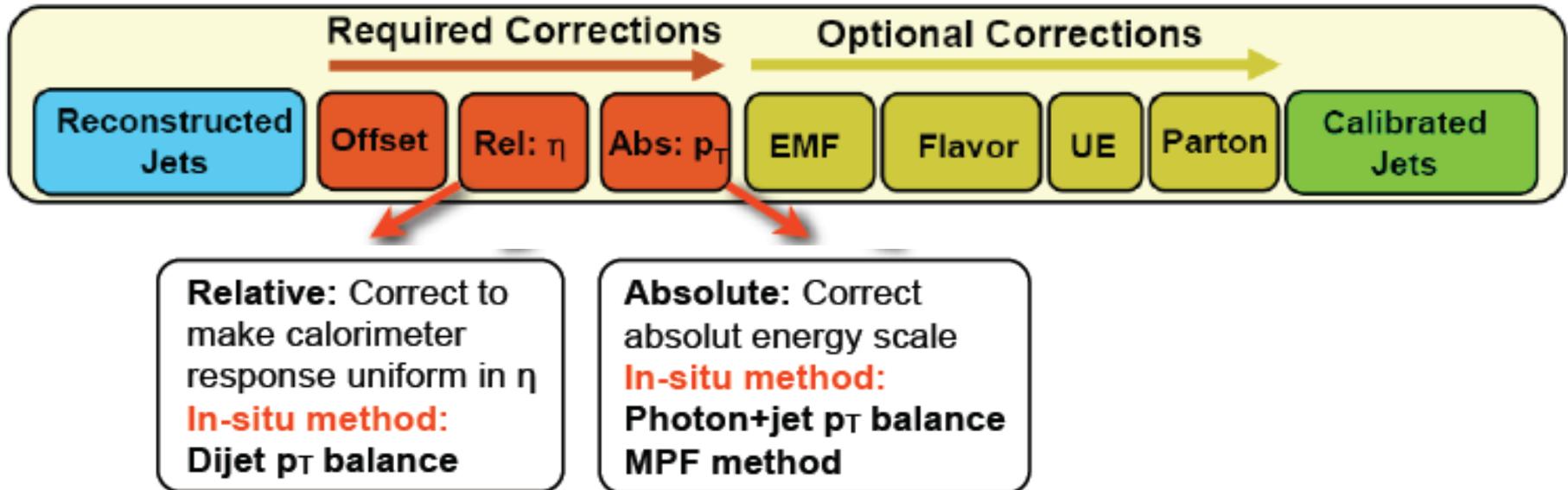


Jet Energy Scale

- Determine the energy of the partons produced in the hard scattering process
- Corrections needed for:
 - **Detector effects:**
 - Non-linearity of calorimeter
 - Response to hadrons
 - Poorly-instrumented or non-functional regions
 - **Physics effects:**
 - Initial and final state radiation
 - Hadronization
 - Underlying event
 - Parton flavor
- Need corrections for data and MC, validate in both

Jet Corrections

- Use CMS as an example, also show others
 - CMS uses factorized approach

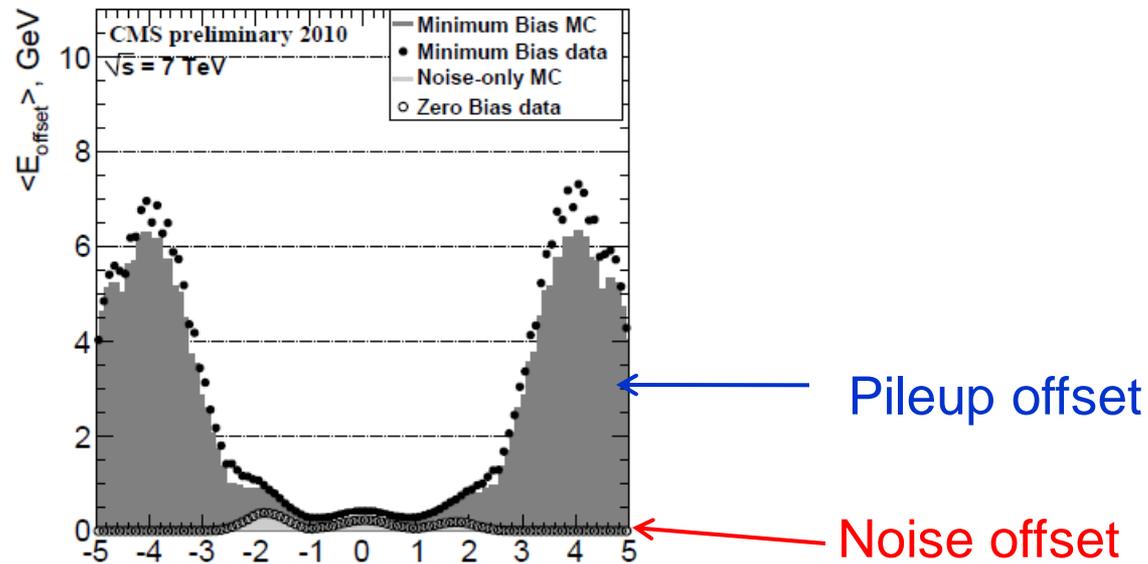


- apply Jet Corrections as :

$$E_{\text{corrected}} = (E_{\text{uncorrected}} - E_{\text{offset}}) \times C_{\text{rel}}(\eta, p''_T) \times C_{\text{abs}}(p'_T)$$

Where p''_T is the jet p_T corrected for offset, and p'_T is corrected for offset and η dependence (Relative corr).

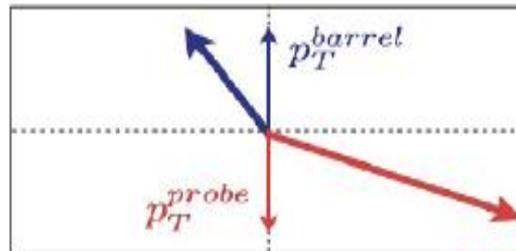
Offset correction



- Measure noise with Zero Bias trigger, with Minimum Bias trigger vetoed (MinBias requires coincidence in Beam Scintillating counters, indicating pp interaction)
- Measure pileup – select MinBias events in early data (most events 0,1 int.)
- E_{offset} -- average calorimeter energy summed in a cone of radius $R=0.5$ at a given η -- Offset from noise is below 400 MeV in energy
- Offset from one pile-up event: Up to 7 GeV in energy
- Probability of pile-up in 2010 data typically ~50%
- correction is small -- not yet being applied on CMS jets

Relative Correction from Dijet p_T balance

Barrel Jet



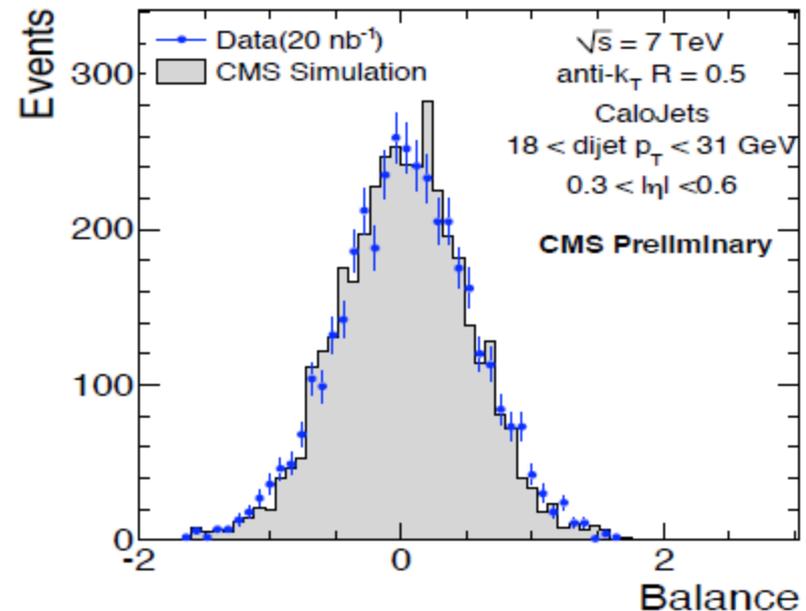
Probe Jet

$$p_T^{dijet} = \frac{p_T^{probe} + p_T^{barrel}}{2}$$

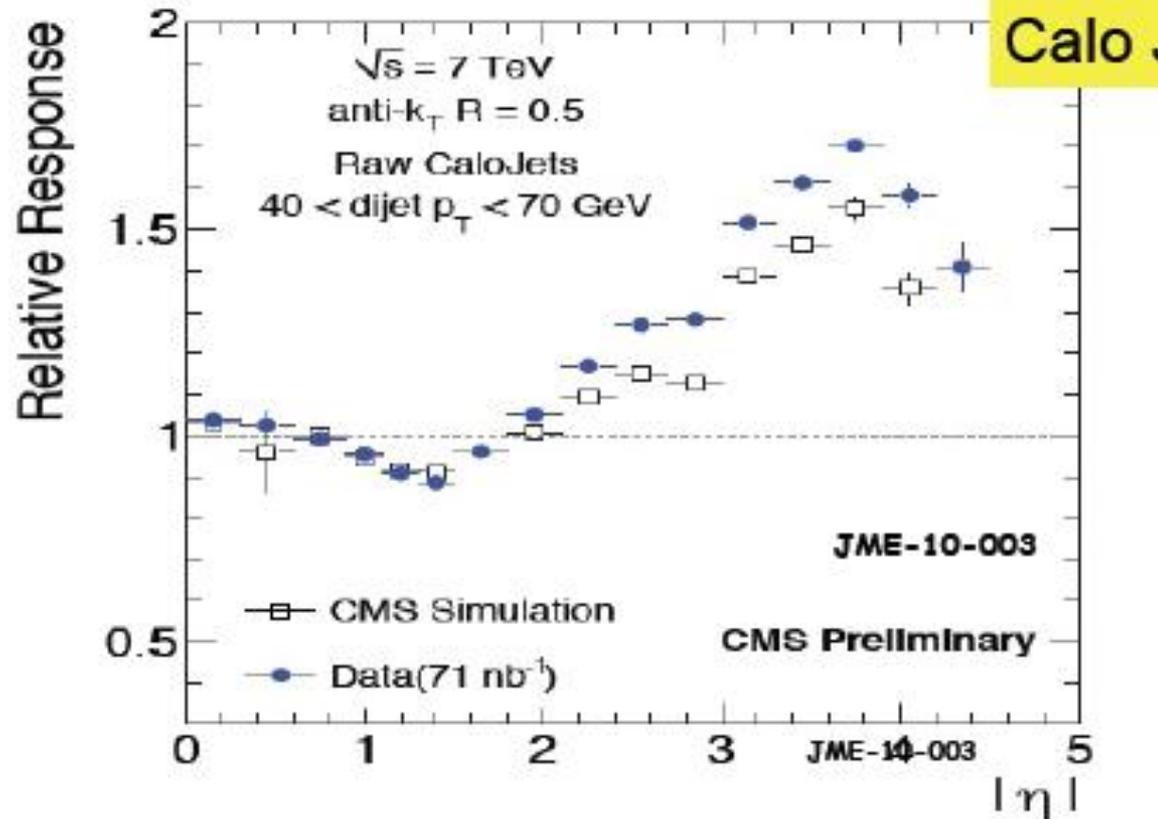
$$B = \frac{p_T^{probe} - p_T^{barrel}}{p_T^{dijet}}$$

$$r = \frac{2 + \langle B \rangle}{2 - \langle B \rangle}$$

- Require at least 2 jets, one in central region (Tag)
- $\Delta\phi > 2.7$
- Veto 3rd jet ($p_T^{3rd}/p_T^{dijet} < 0.2$)
- Measure Balance variable B in bins of p_T (dijet) and η
- $\langle B \rangle$ in each bin is used to construct r
 - Measure of relative response

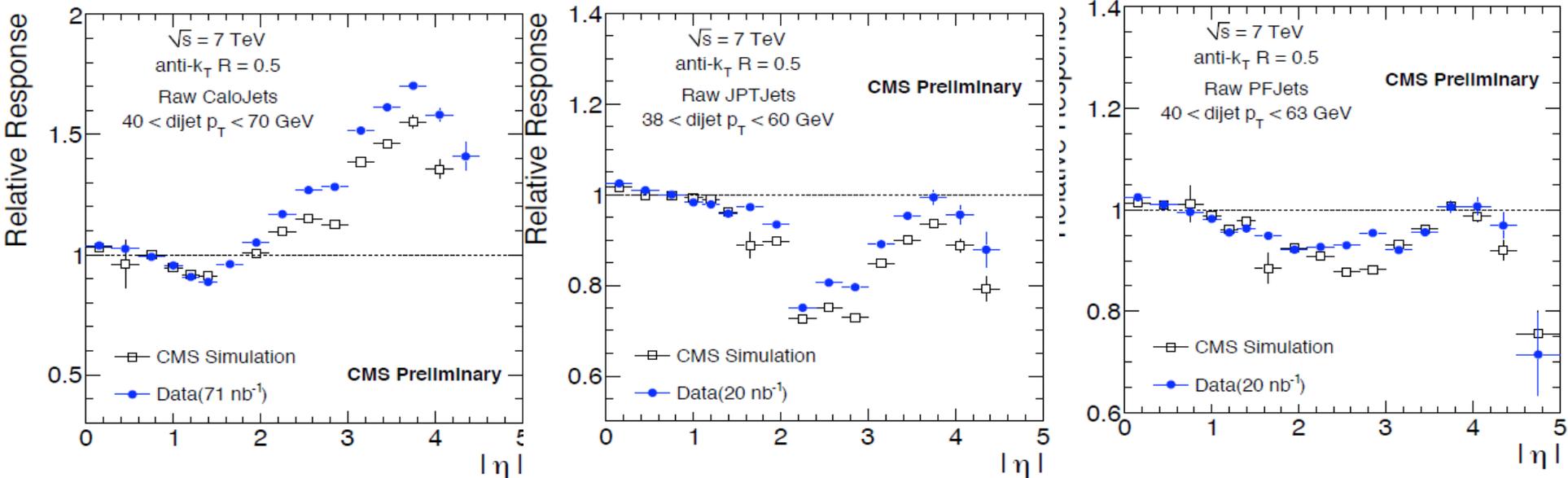


Relative response in η



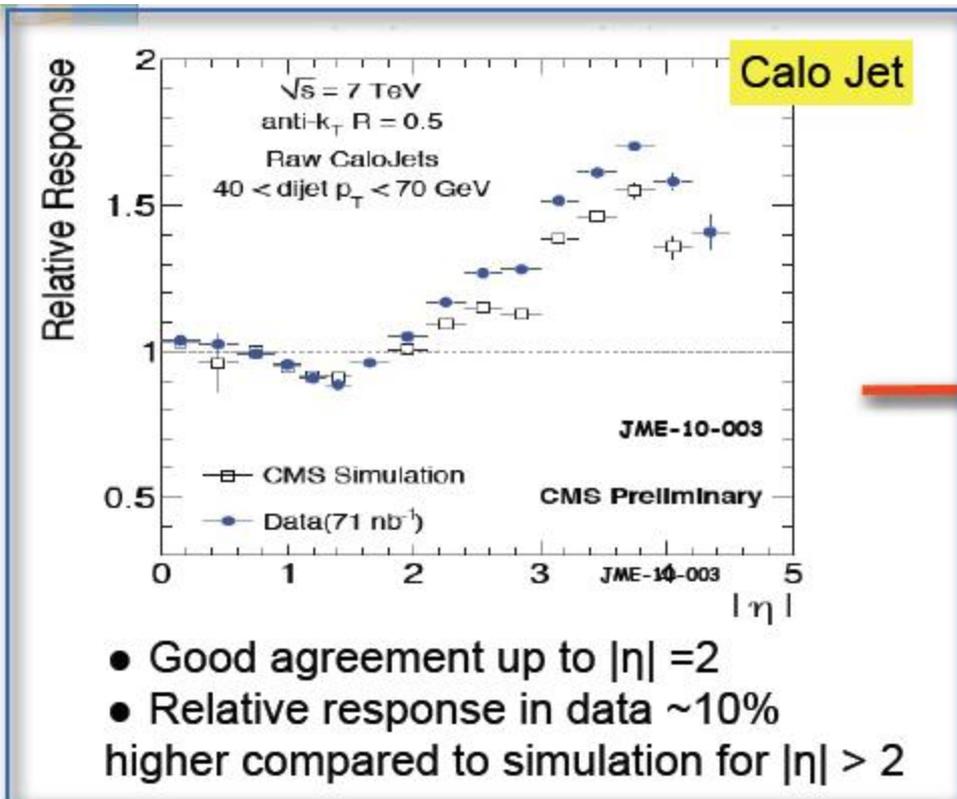
- Same dijet balance is applied to simulation
- Good agreement Data/MC for $|\eta| < 2$
- Calorimeter transition
 - Barrel to endcap at $|\eta| = 1.3$
 - Endcap to forward at $|\eta| = 3$

Compare different CMS jets



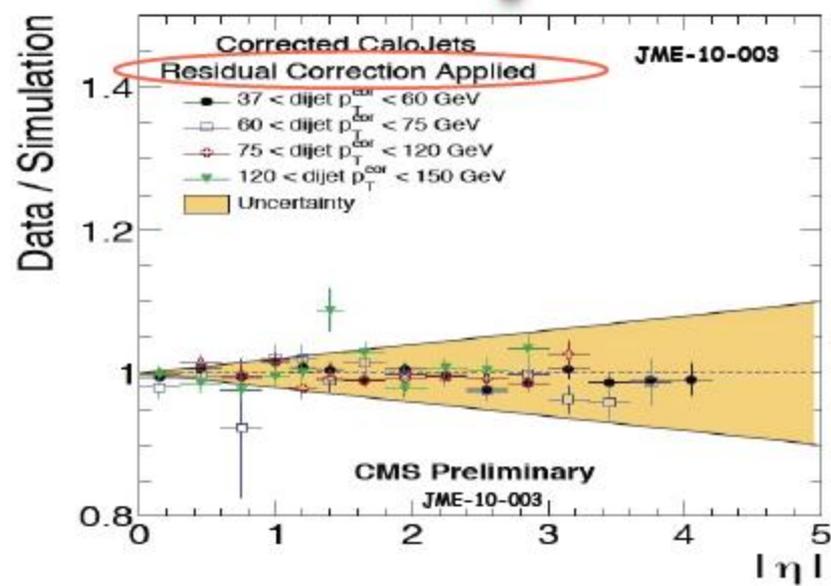
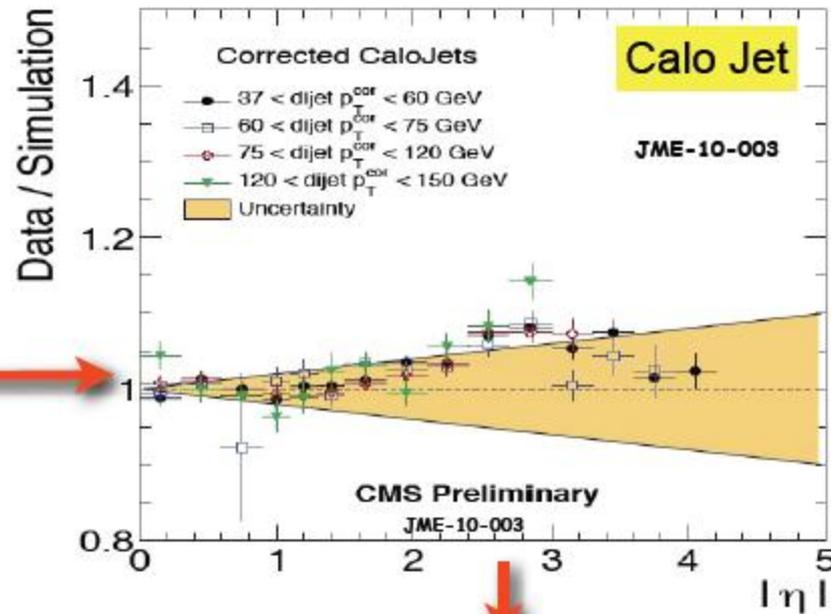
- JPT and PF jets – rely on tracking with calorimetry – response reflects tracking detector coverage as well as calorimeter
 - ➔ Steep falloff in track efficiency and resolution for $|\eta| > 2$, none for $|\eta| > 2.5$

Relative JEC : Data/MC

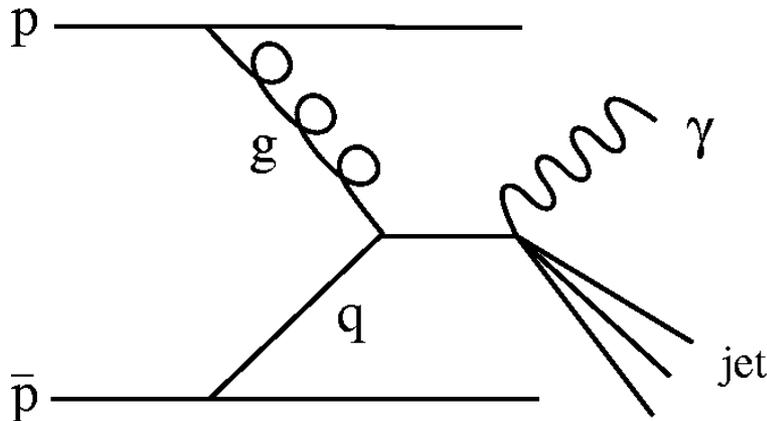


- Good agreement up to $|\eta| = 2$
- Relative response in data $\sim 10\%$ higher compared to simulation for $|\eta| > 2$

=> Data/MC close to unity after the residual correction
 => Data/MC deviations are covered by conservative η -dependent systematic uncertainty of $\pm 2\% \times |\eta|$



Absolute Jet Energy Correction at CMS

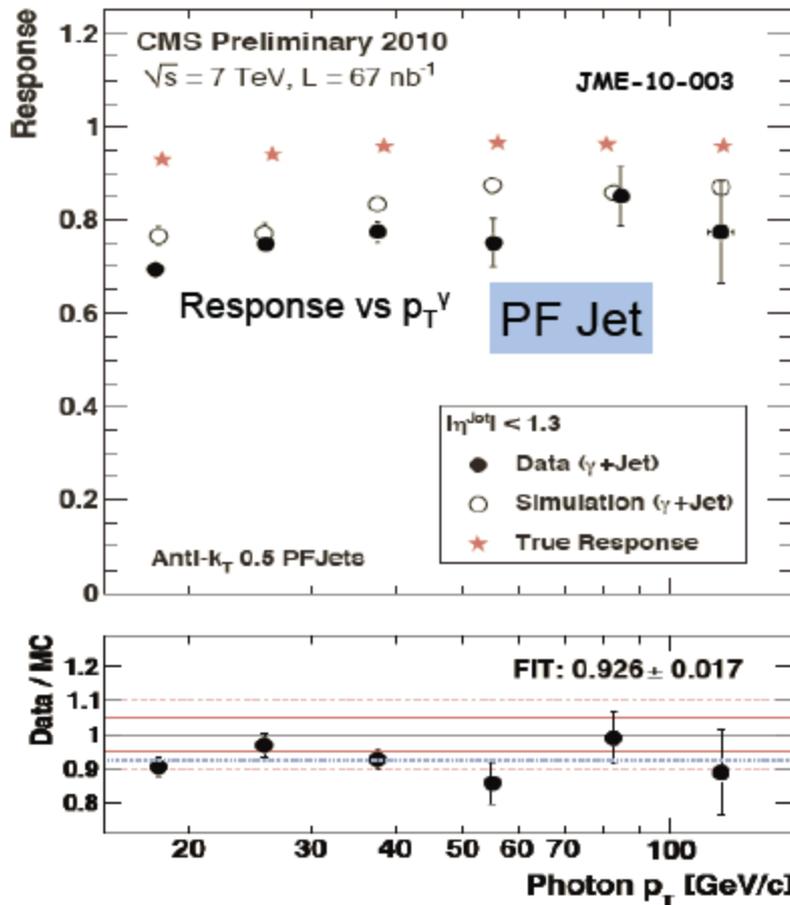
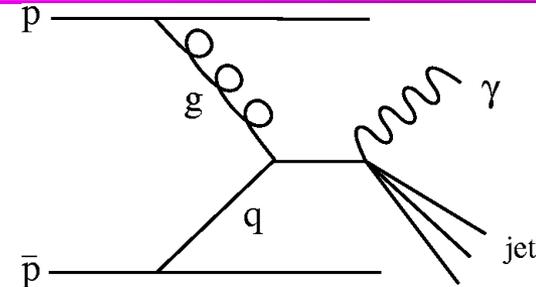


- Goal – want calorimeter energy response to a particle jet to be 1 and independent of p_T
 - Absolute Jet Energy Correction
- When combined with offset and relative corrections, this is all that is needed for most analyses
- Use photon+jet events
 - γ +jet balance
 - MPF
- Start with isolated photon, $p_T > 15$ GeV, in barrel region ($|\eta| < 1.3$), + 1 barrel jet

Absolute Correction from Photon + jet

- p_T balance in back-to-back γ +jet events

→ γ is the reference, test response p_T/p_T^γ



- Compare data, simulation to true from MC
- Bias due to soft veto on 2nd jet
- D0 – developed MPF method
- Missing ET Projection Fraction – uses MET to measure the balance, less sensitive to QCD radiation

Jet Response from MPF in γ +jet

- Basics of MPF (Missing Momentum Fraction; developed at D0)

- ❖ Ideally: $\vec{p}_T^\gamma + \vec{p}_T^{\text{recoil}} = \vec{0}$

- ❖ Add in the detector: $R_\gamma \vec{p}_T^\gamma + R_{\text{recoil}} \vec{p}_T^{\text{recoil}} = -\vec{E}_T^{\text{miss}}$

- ❖ Solving: $R_{\text{recoil}}/R_\gamma = 1 + \frac{\vec{E}_T^{\text{miss}} \cdot \vec{p}_T^\gamma}{|\vec{p}_T^\gamma|^2} \equiv R_{\text{MPF}}$

- R_{MPF} is assigned as the response of the recoil jet

- Advantage of MPF: Low sensitivity to extra radiation

- Smaller error bars: Widths of distributions are narrower → fewer fluctuations from the impact of extra radiation

- Smaller bias wrt MC-truth than $p_T^{\text{jet}}/p_T^\gamma$ for current very loose cuts on extra radiation

- Helps to fully exploit the accuracy of PF method

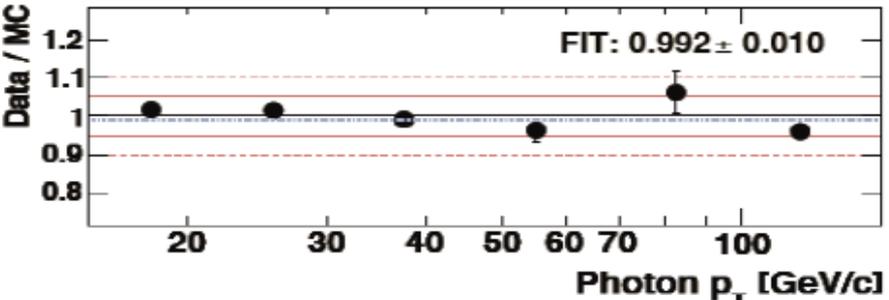
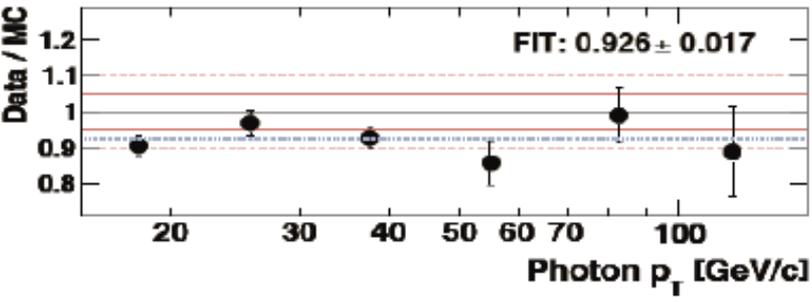
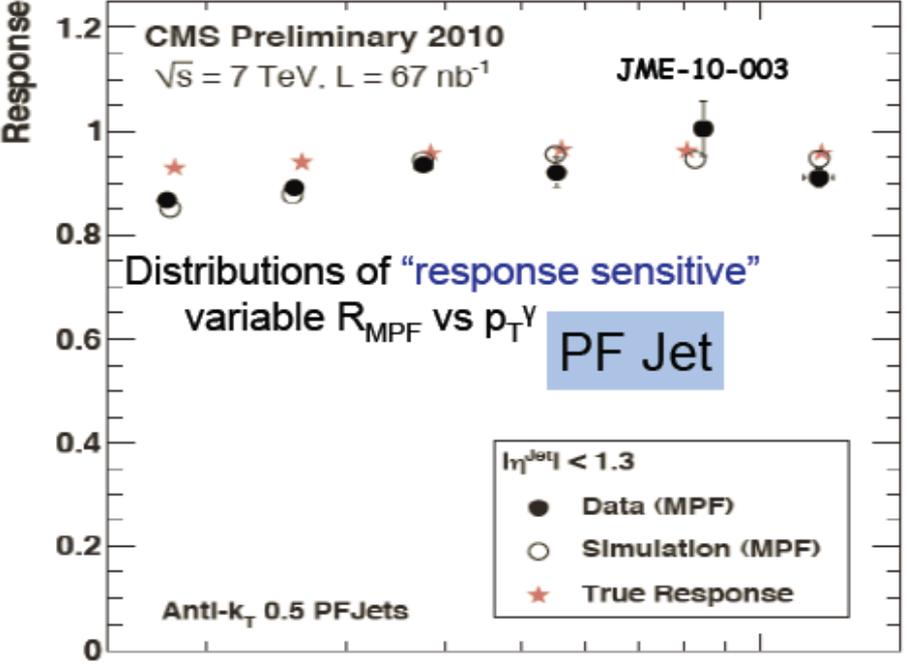
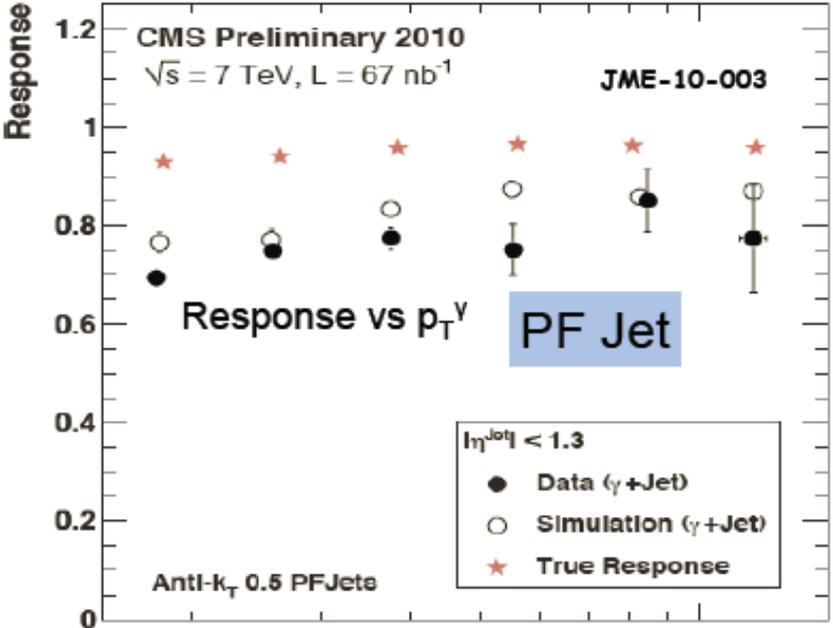
- MPF method demonstrates the accuracy of JES for different types of jets more clearly than γ -jet balancing method does

MPF at CMS

γ +jet

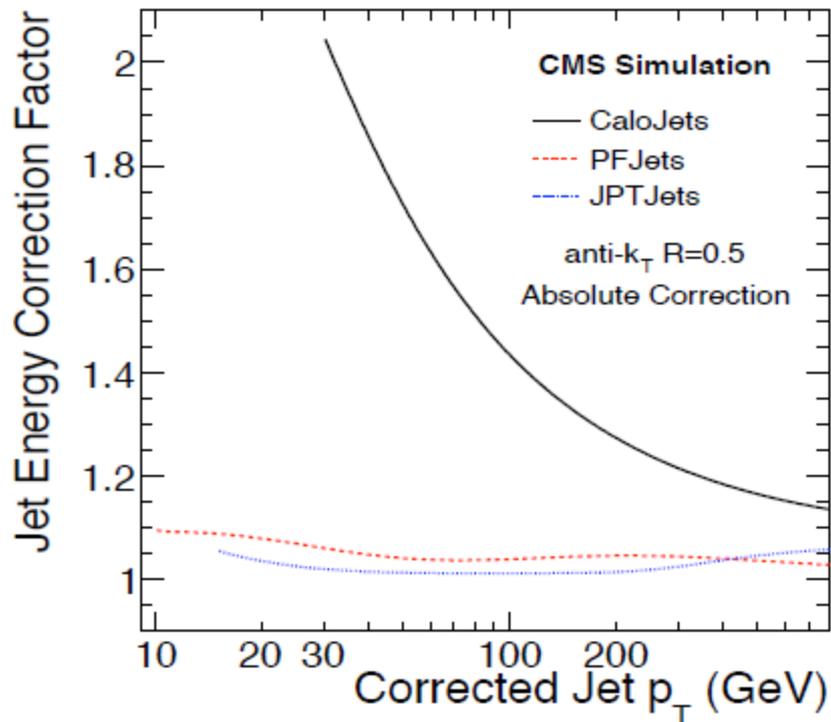


MPF



Absolute Correction Factors

- Absolute jet energy correction factors C_{abs} derived from simulation for CaloJets, PF Jets, JPT jets, at 7 TeV, as a function of corrected jet p_T

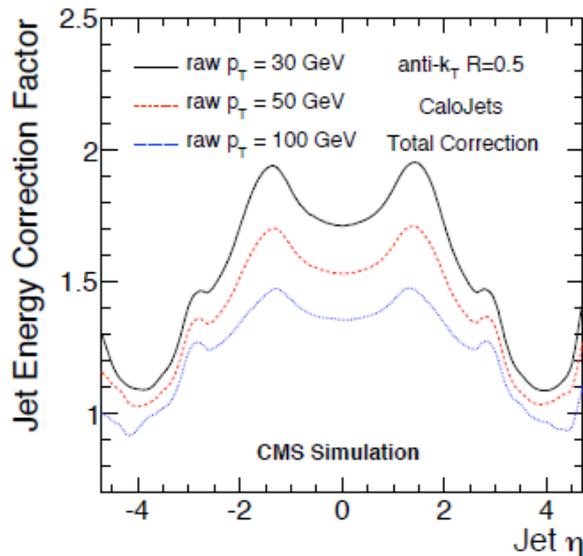


Note large correction factors at low p_T for CaloJets – due to non-compensation of CMS calorimeters

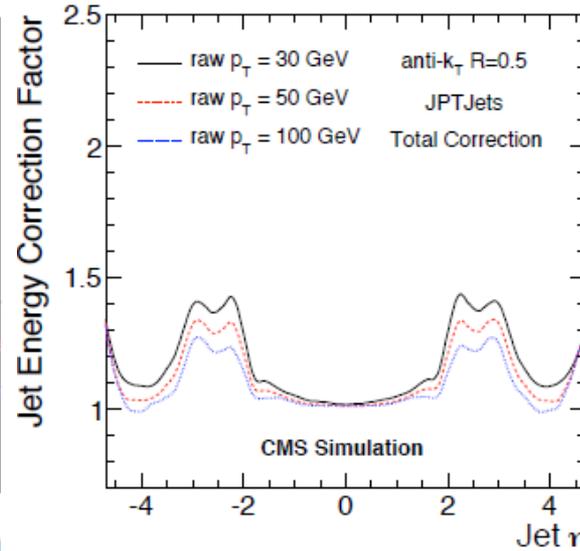
Correcting Simulated Jets

- Derive corrections for Monte Carlo jets – match reconstructed jets to MC-generator level jets
- In CMS, first three levels are put together in one correction (offset, relative, absolute)

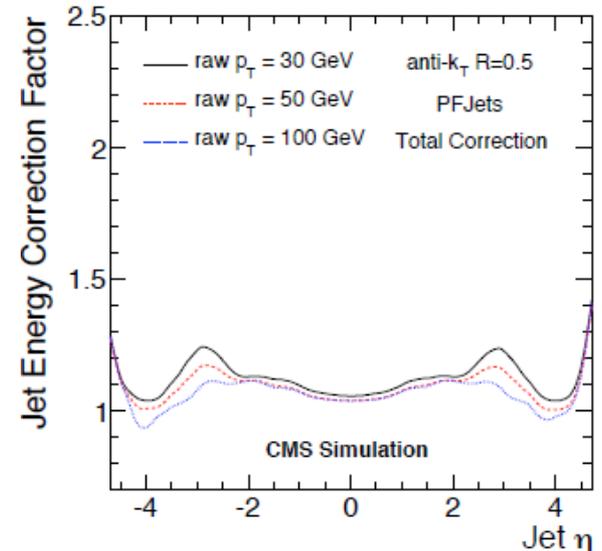
Calojets



JPT Jets



PF Jets

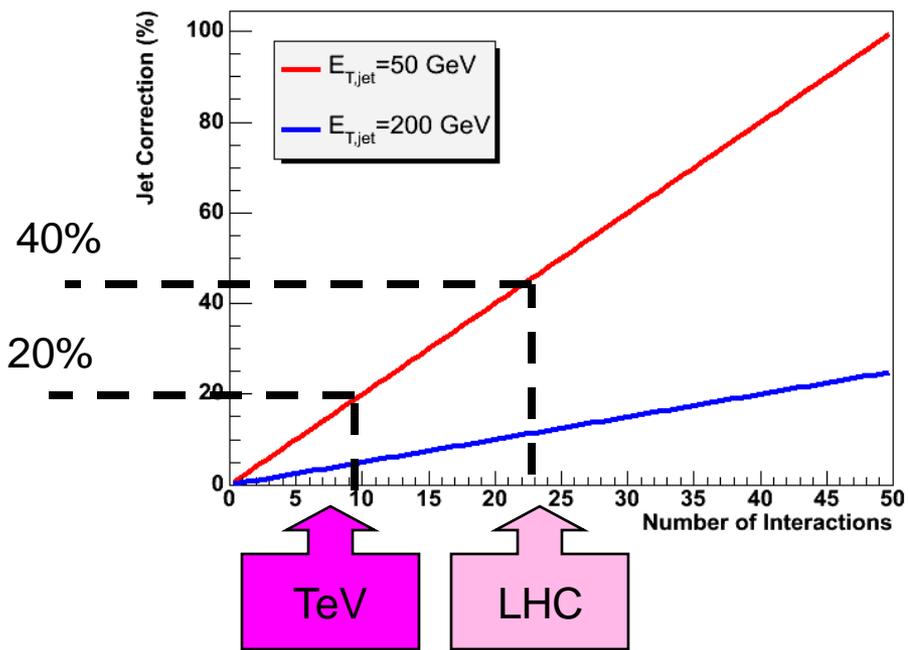
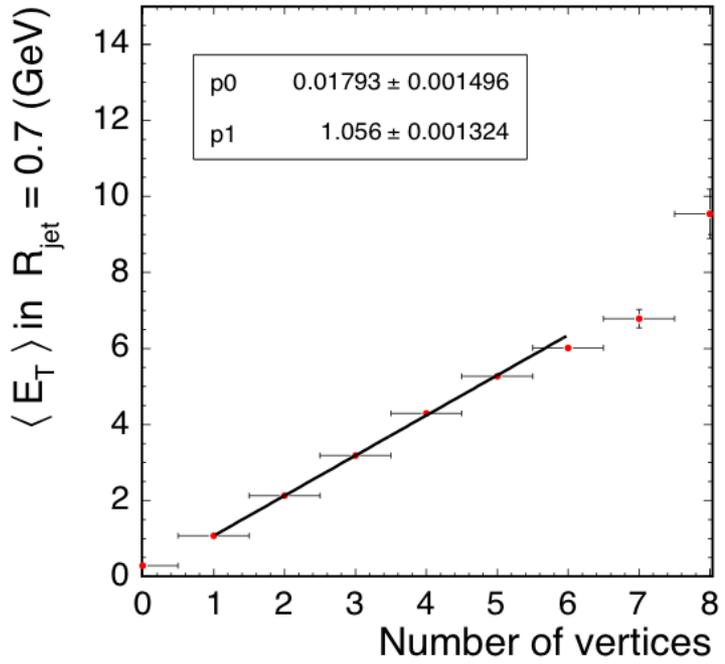
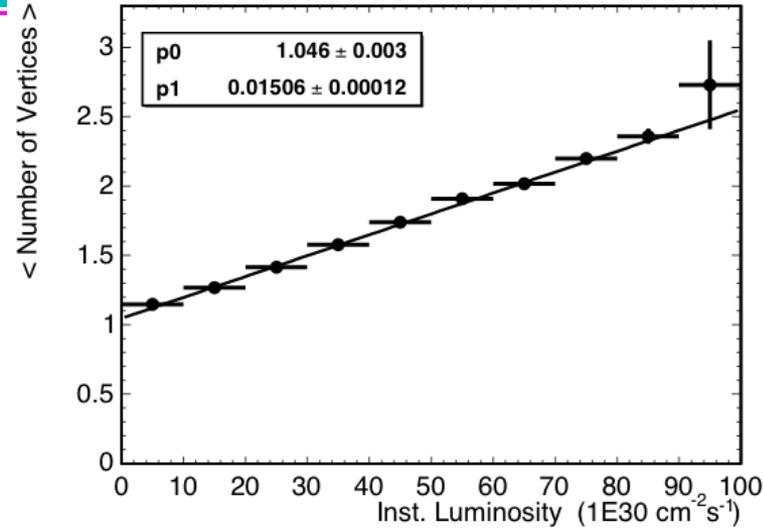


Jet Corrections/Calibrations from Tevatron

- Mature Tevatron experiments have sophisticated jet correction algorithms
 - Use some of the same that I showed for CMS
- I will show some examples

Multiple Interactions (MI) at the Tevatron

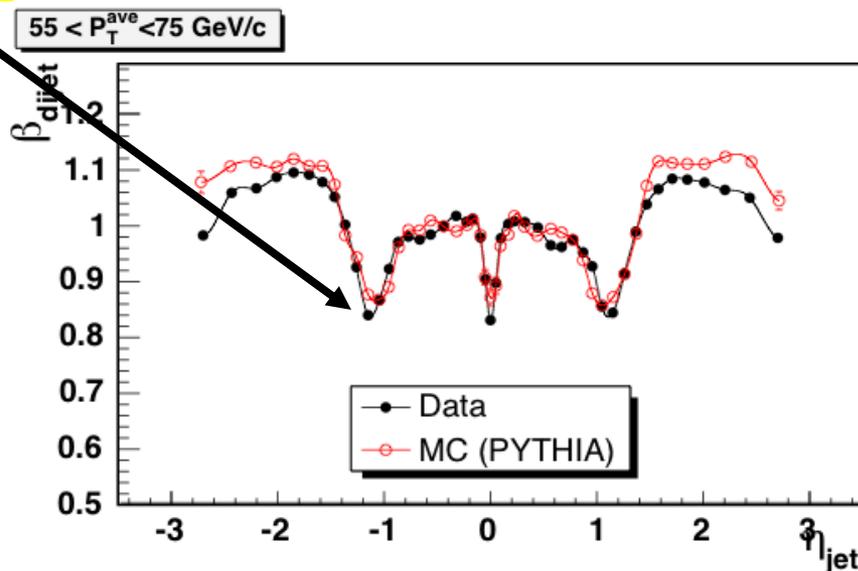
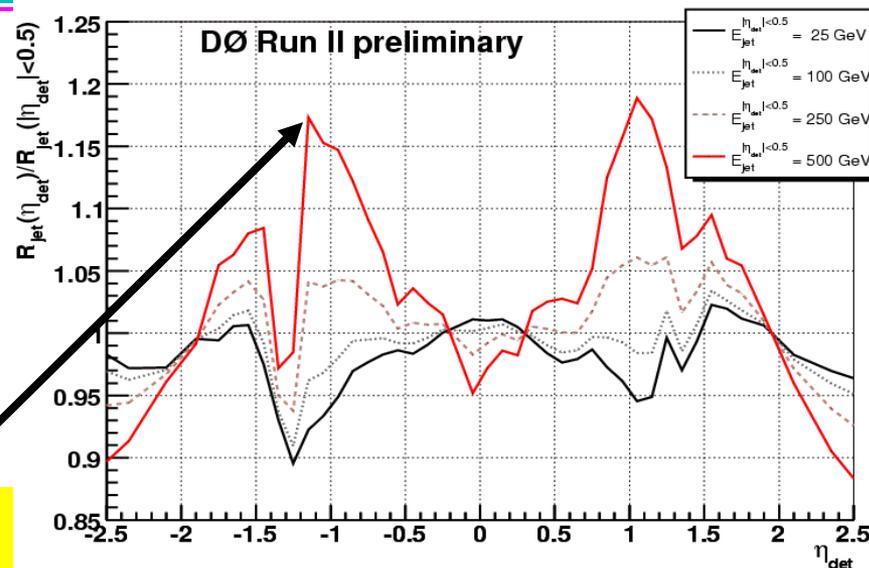
- Need to know how many interactions there were:
 - ➔ # of z-vertices \sim # of interactions
- Throw random cones in Minimum Bias events
 - ➔ Determine average E_T per cone, e.g. CDF: 1 GeV for $R=0.7$



Relative Corrections

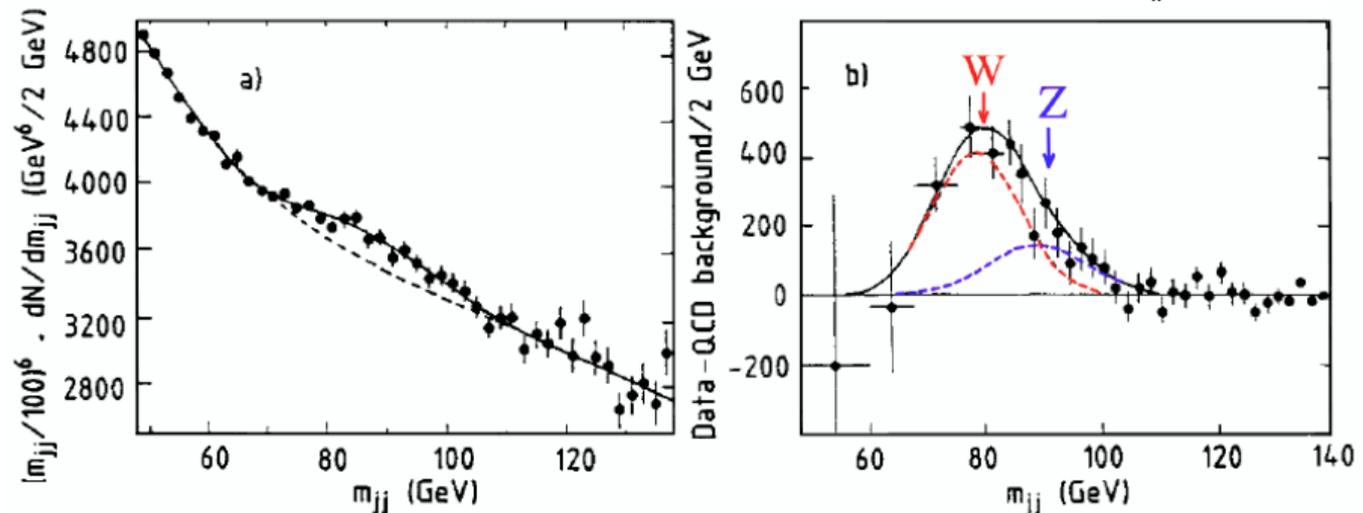
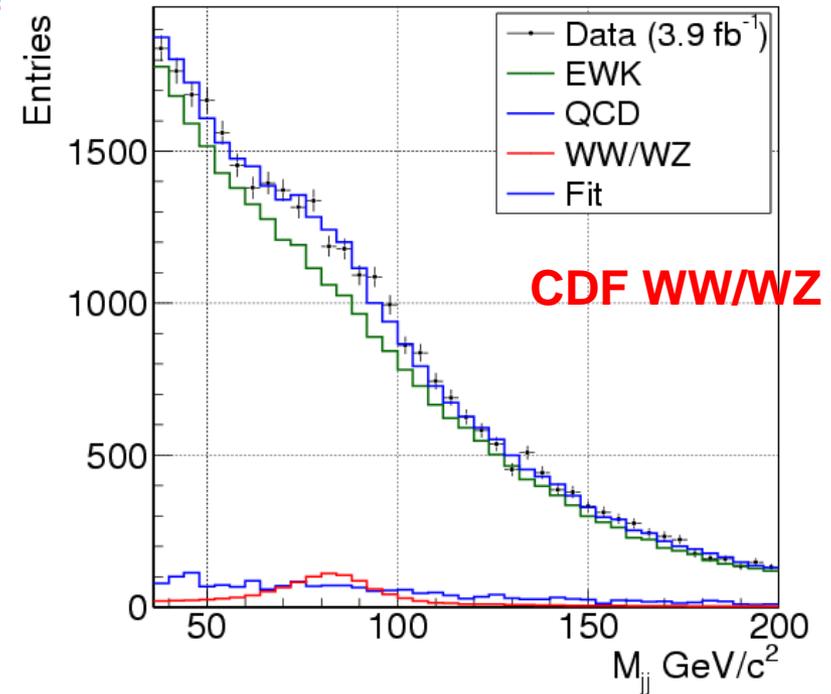
- Mapping out cracks and response of calorimeter
- Central at ~ 1 by definition
- D0:
 - Response similar in central and forward
 - Two rather large cracks
- CDF:
 - Response of forward better than of central
 - Three smaller cracks
- Difficulties:
 - depends on E_T
 - Can be different for data and MC

Cracks

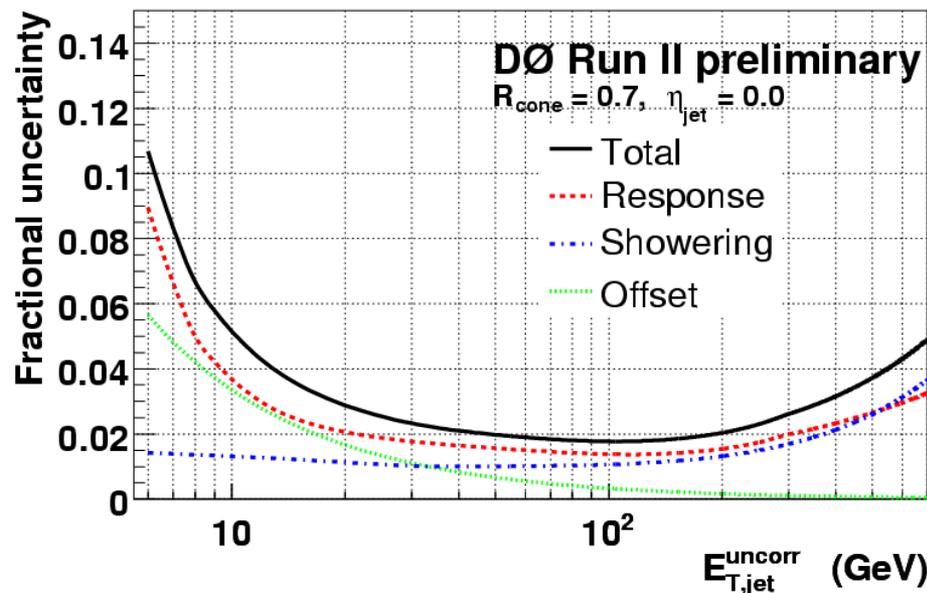
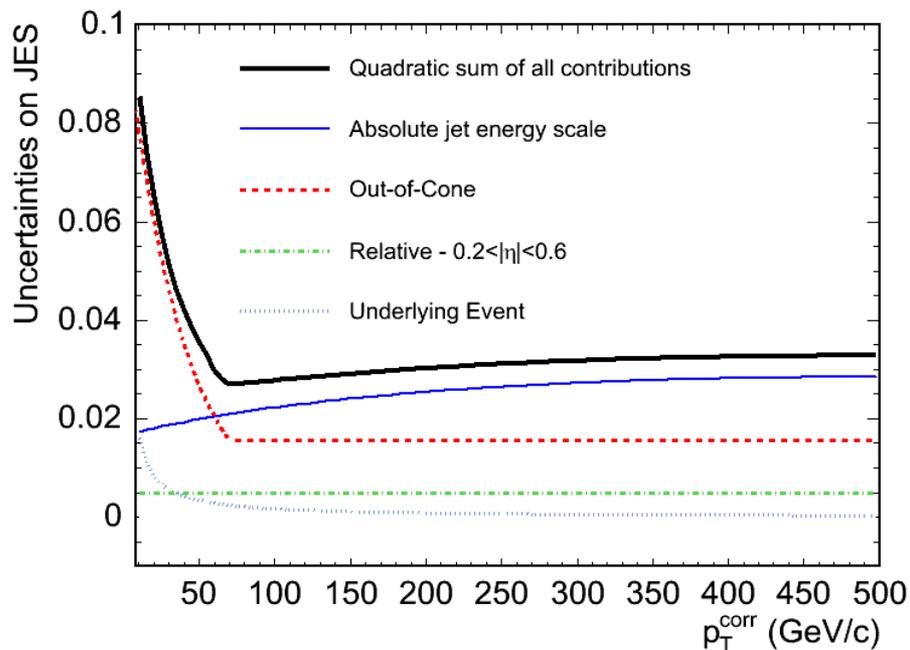


Calibration Peaks from W's and Z's

- Would like to use W,Z for calibration – same mass scale as Higgs
- Difficult to see inclusive decays of W's and Z's to jets
 - Small signal on huge background
- Two best opportunities:
 - W in top quark decays
 - Z in bb decay mode



Jet Energy Scale Uncertainties



- Uncertainty on Jet Energy Scale determines how well you can measure mass (of W, H, new resonance, etc) – extremely important to reduce, and understand
- CDF and DØ achieve similar uncertainties
- CMS – 10% based on Monte Carlo studies – initial data validates that this is conservative → Will improve with more data

Summary

- I've tried to show aspects of calibration of calorimeters at many levels
 - detector components
 - Testbeam, in-situ
 - Single-particle
 - Physics objects
- Using calorimeter information
 - Jet construction algorithms
- Corrections at the physics level
 - It comes back to how the detector was designed and built
 - Important to physics results!

Thanks for your attention and participation!!
Enjoy the rest of the summer school!!

Extra slides

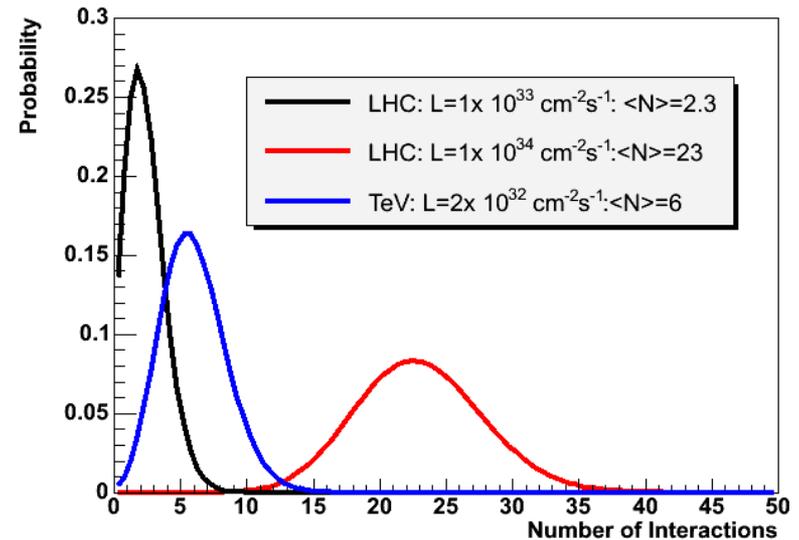
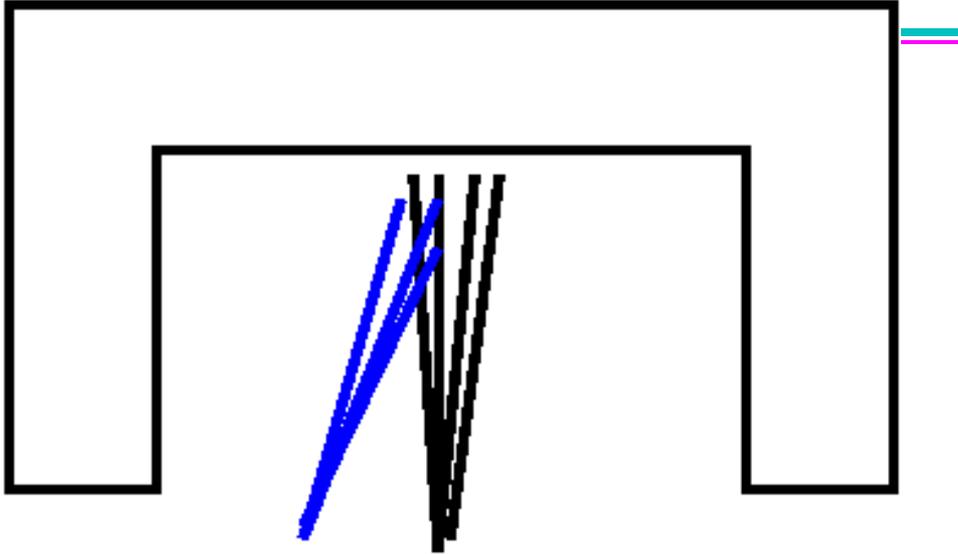


$$d_{ij} = \min \left(k_{T,i}^{-2}, k_{T,j}^{-2} \right) \frac{\Delta R_{ij}^2}{R^2}$$

$$\Delta R_{i,j}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

- ◆ New development in the jet clustering theory.
- ◆ Tends to cluster the energy around the hardest particles.
 - ▶ essentially behaves like a cone algorithm giving perfectly round jet areas
- ◆ Belongs to the “ k_T ” family.
 - ▶ merging of 4-vector pairs based on transverse momentum weighted distance in y - ϕ plane.
 - ▶ the clustering terminates when the weighted distance between particles is greater than a specific value R (resolution parameter).
 - ▶ the quantity R is of the order of unity.
- ◆ infrared and collinear safe (suitable for theory calculations).

Multiple pp Interactions

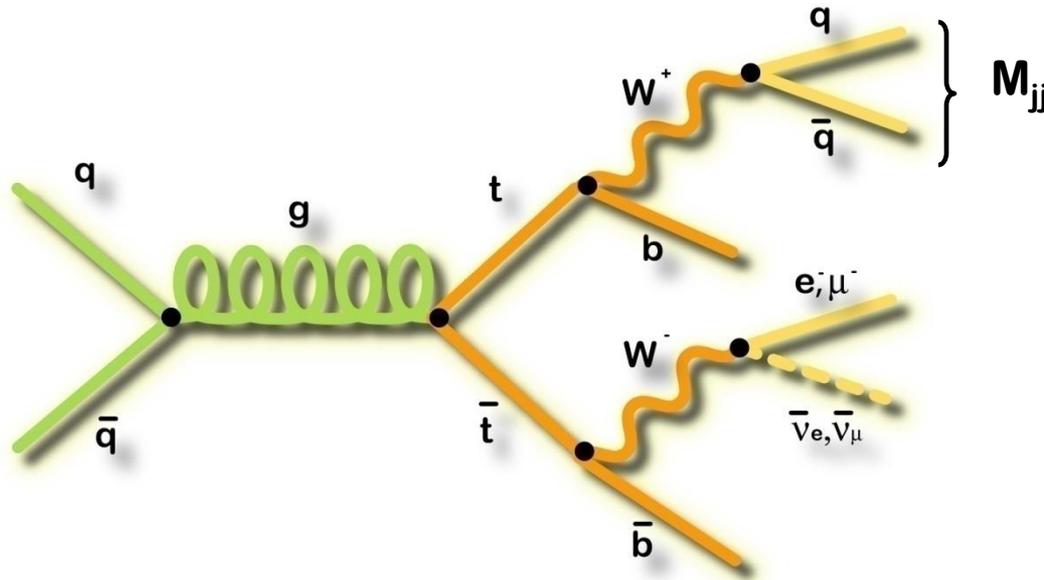


- Overlapping interactions can overlap the jet
- Number of extra interactions depends on luminosity
 - LHC:
 - Low lumi ($L=1 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$): $\langle N \rangle = 2.3$
 - High lumi ($L=1 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$): $\langle N \rangle = 23$
 - Tevatron:
 - $L=2 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}$: $\langle N \rangle = 6$

Offset depending on number of interactions

In-situ Measurement of JES

- Additionally, use $W \rightarrow jj$ mass resonance (M_{jj}) to measure the jet energy scale (JES) uncertainty



2D fit of the invariant mass of the non-b-jets and the top mass:

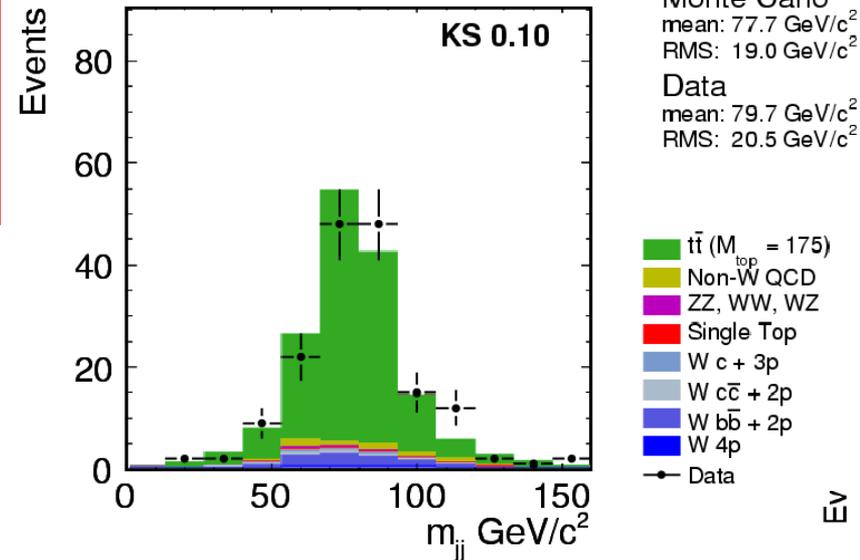
$$\text{JES} \propto M(jj) - 80.4 \text{ GeV}/c^2$$

Measurement of JES scales directly with data statistics

W → jj Calibration in Top Events

- Fit for ratio of JES in data to JES in MC
CDF (1 fb⁻¹): $\delta_{\text{JES}} = 0.99 \pm 0.02$
DØ (0.3 fb⁻¹): $\delta_{\text{JES}} = 0.99 \pm 0.03$
- Constrain JES to 2% using 166 events

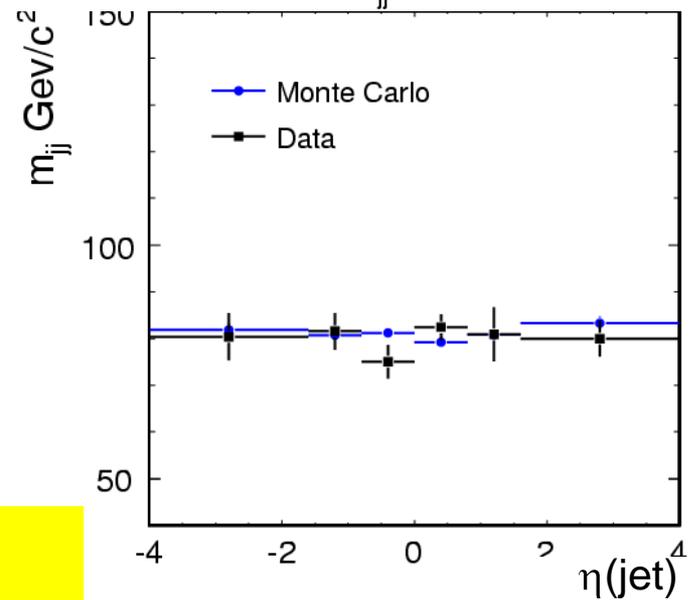
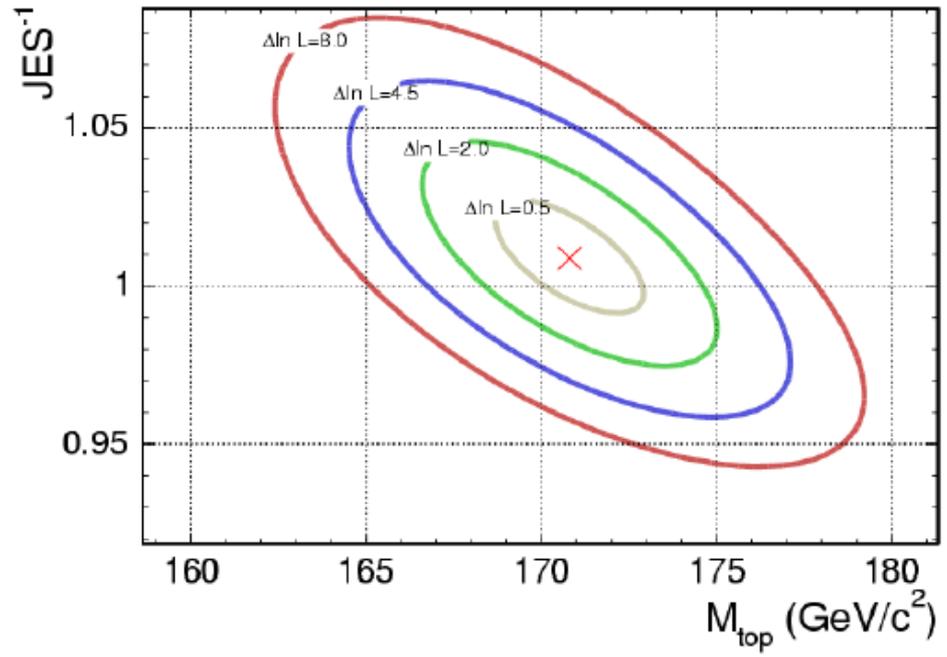
CDF Run II Preliminary (955 pb⁻¹)



Monte Carlo
 mean: 77.7 GeV/c²
 RMS: 19.0 GeV/c²

Data
 mean: 79.7 GeV/c²
 RMS: 20.5 GeV/c²

CDF Preliminary 955 pb⁻¹



At LHC will have 45,000 top events/month!

Streamlined Seedless Algorithm

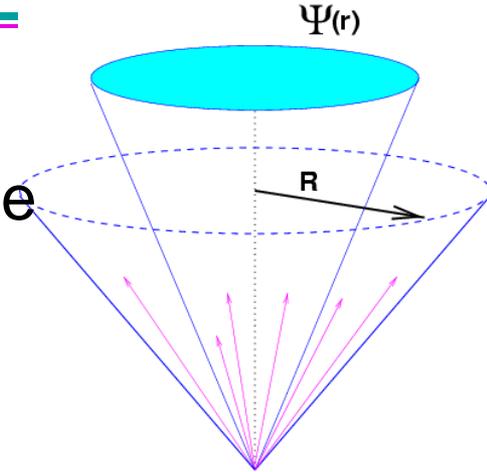
- Data in form of 4 vectors in (η, φ)
- Lay down grid of cells (\sim calorimeter cells) and put trial cone at center of each cell
- Calculate the centroid of each trial cone
- If centroid is outside cell, remove that trial cone from analysis, otherwise iterate as before
- Approximates looking everywhere; converges rapidly
- Split/Merge as before

Corrections from Particle Jet to Parton

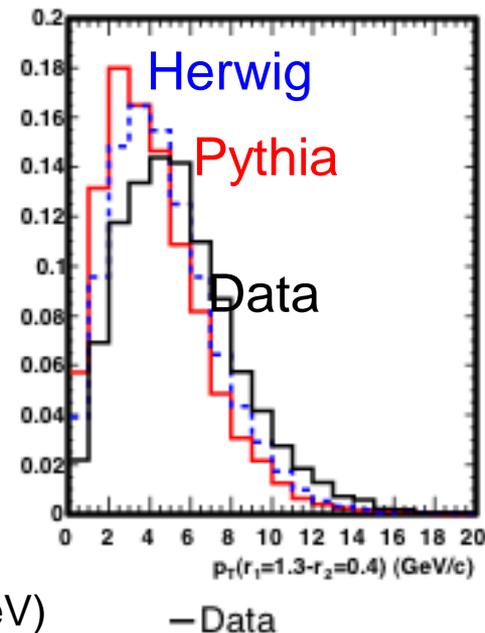
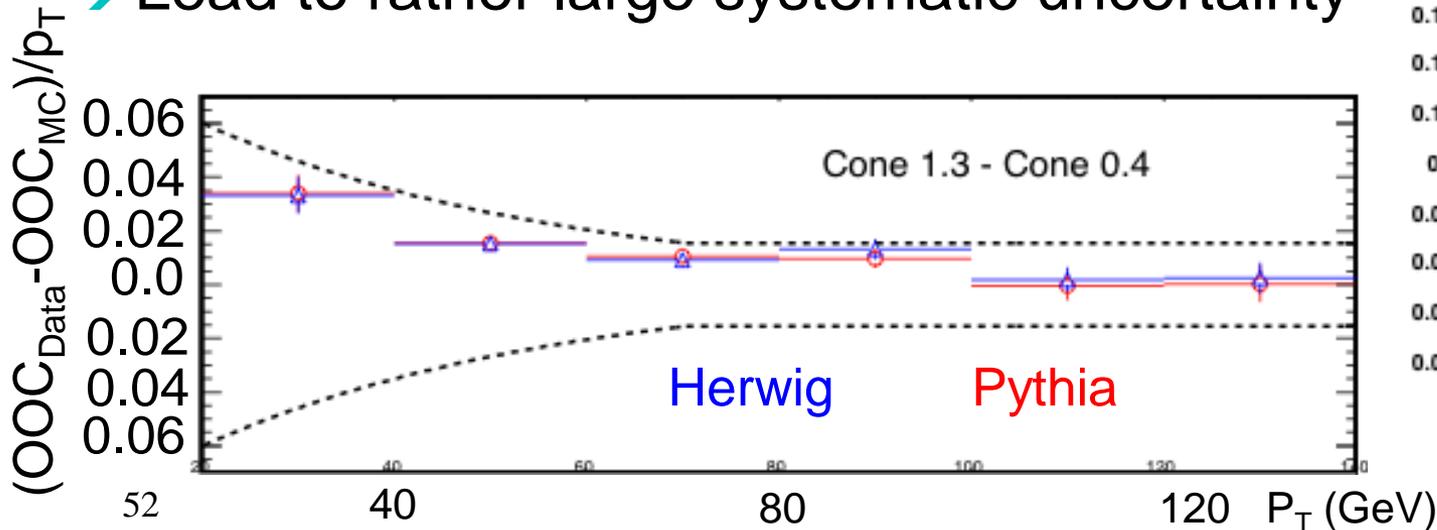
- Underlying event (UE) and Out-of-cone (OOC) energy
 - Only used if parton energy is wanted
 - Requires MC modeling of UE and OOC
 - Differences are taken as systematic uncertainty

$$P_{T,parton} = P_{T,particle} - UE + OOC$$

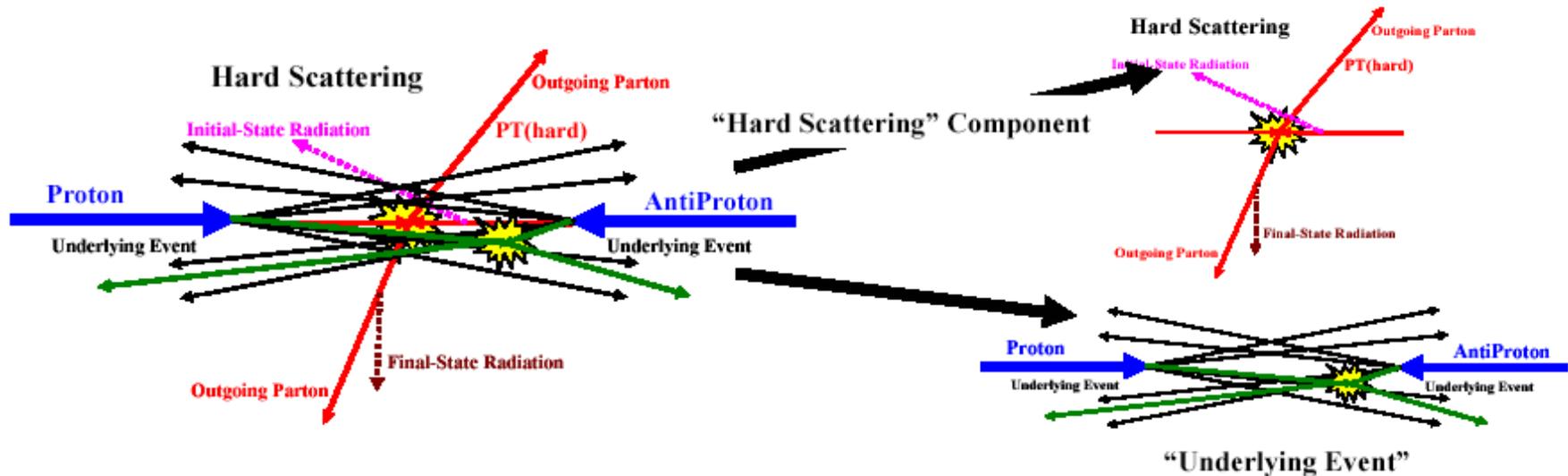
Out of Cone Energy (OOC)



- Out-of-Cone Energy:
 - ➔ Original parton energy that escapes the cone
 - E.g. due to gluon radiation
 - ➔ Jet shape in MC must describe data:
 - measure energy flow in annuli around jet
- Differences between data and MC
 - ➔ Lead to rather large systematic uncertainty



Underlying Event



- Consists of:

- "beam-beam remnants": energy from interaction of spectator partons
- "Initial state radiation": energy radiated off hard process before main interaction

Measuring the Underlying Event

Leading Jet Direction

“Transverse” region very sensitive to the “underlying event”!

