

Triviality and the Higgs mass lower bound

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Outline

- Experimental m_{Higgs} bounds
- Standard Model m_{Higgs} bounds: Landau pole and vacuum instability — **Fakes**
- Triviality and true m_{Higgs} bounds
- m_{Higgs} lower bound & lattice
- Theoretical bounds give range of allowed m_{Higgs}
- Bounds and m_{Higgs} measurement tells us where Standard Model breaks down
- Proposal: Lattice test of Standard Model — important & timely

Experimental m_{Higgs} bounds

Higgs not seen

$m_{\text{Higgs}} > 114.4 \text{ GeV}$ (95% C.L.)

Observables ($m_W, \Gamma_Z, \sin^2 \theta_W, \dots$) depend on m_{Higgs}

Fit measurements with m_{Higgs} as free parameter

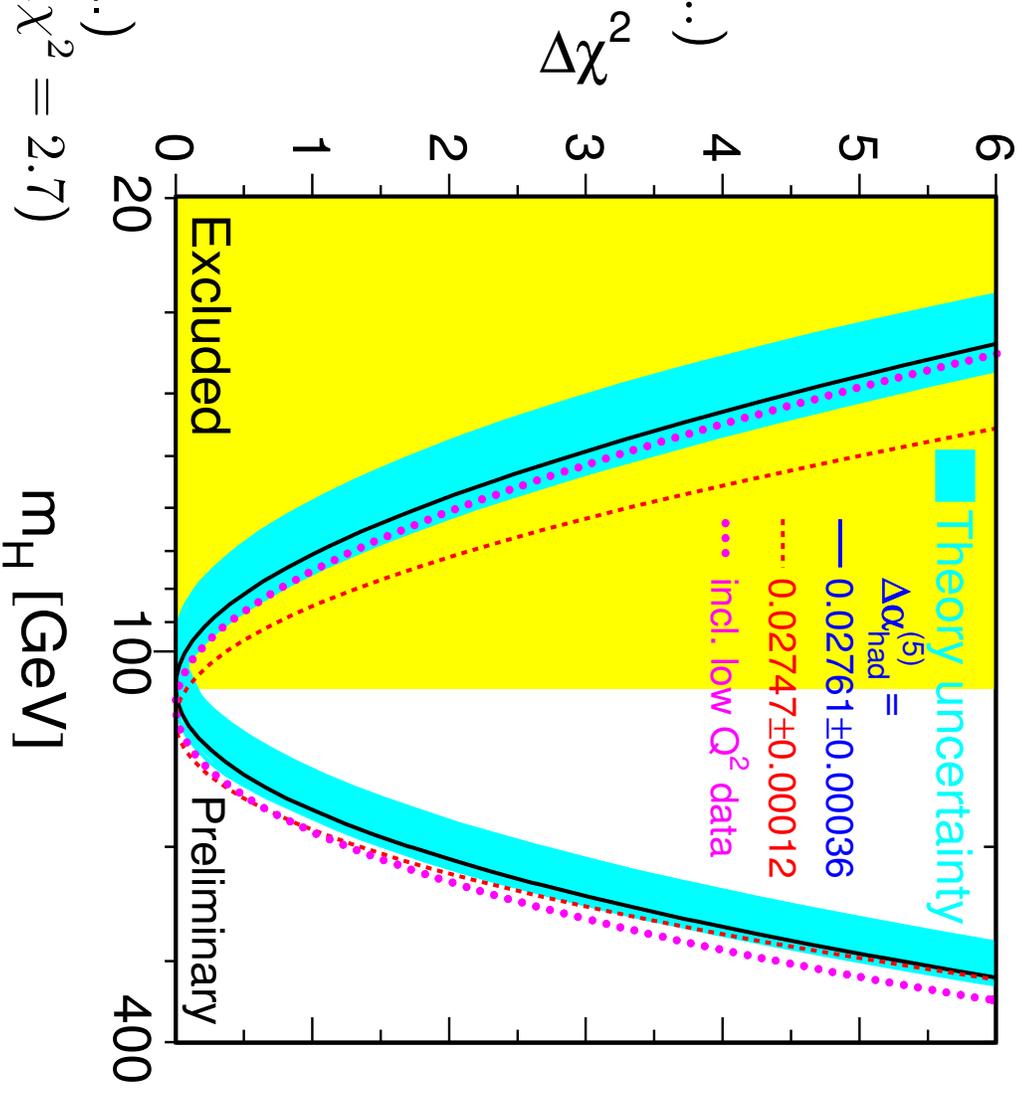
$\Delta\chi^2 = \chi^2 - \chi^2_{\text{min}}$ quality of fit

Fit

$m_{\text{Higgs}} = 113_{-42}^{+62} \text{ GeV}$ (68% C.L.)

$m_{\text{Higgs}} < 237 \text{ GeV}$ (95% C.L. $\Delta\chi^2 = 2.7$)

Higgs is light if Standard Model is correct!



LEP EW working group 2004

m_{Higgs} bounds: Landau pole & vacuum instability

Upper and lower bounds for SM m_{Higgs}

SM valid up to Λ , scale of “new physics”, for allowed range of m_{Higgs}

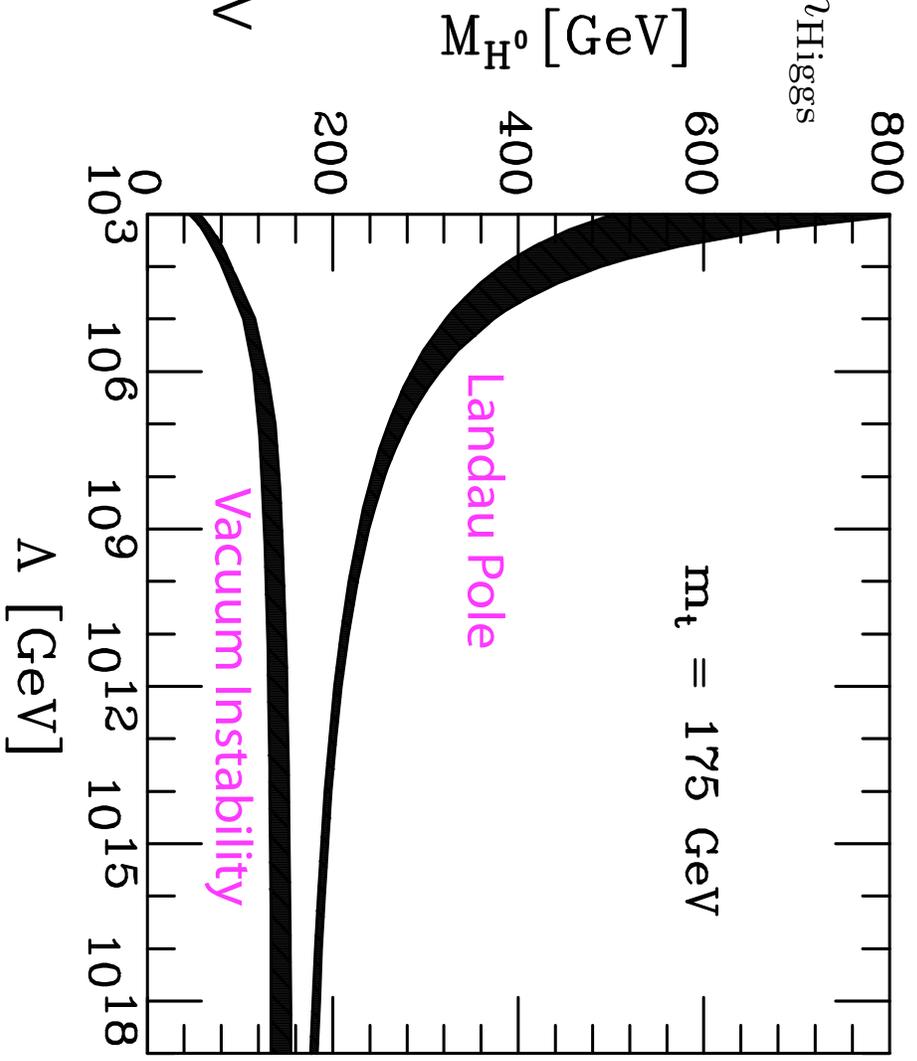
Bounds have uncertainty — can be reduced, in principle

SM cannot sustain $m_{\text{Higgs}} = 1 \text{ TeV}$

If $m_{\text{Higgs}} = 120 \text{ GeV}$, SM breaks down at $\Lambda = 10^2 - 10^3 \text{ TeV}$

If $m_{\text{Higgs}} = 150 - 180 \text{ GeV}$, SM could be valid up to 10^{19} GeV

Lower bound very relevant today — but these bounds are **FAKE**



PDG 2002

Fake: Landau pole and m_{Higgs} upper bound

4-d $\lambda\phi^4$ $\lambda_{\text{R}}(\mu)$ $\lambda_{\text{R}}(v_{\text{R}}) = 3m_{\text{Higgs}}^2/v_{\text{R}}^2$

$\beta(\lambda_{\text{R}}) = 3\lambda_{\text{R}}^2/16\pi^2 + \mathcal{O}(\lambda_{\text{R}}^3) > 0$

$\lambda_{\text{R}}(\mu) = \frac{1}{[1/\lambda_1 - (3/16\pi^2) \ln(\mu/v_{\text{R}})]}$ $\mu_1 = v_{\text{R}}$

Divergence at $\mu = \Lambda$ Landau pole

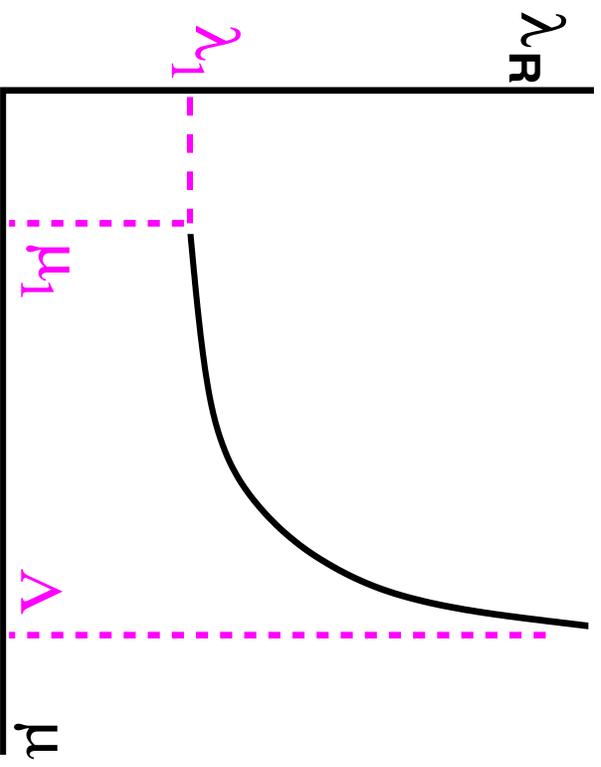
If $\lambda_{\text{R}}(v_{\text{R}}) \leq \lambda_1$, then SM valid up to $\mu = \Lambda$

Λ decreases as λ_1 increases

m_{Higgs} upper bound increases if SM valid only for lower energies

Landau pole supposedly represents “new physics” \Rightarrow used for PDG upper bound

Wrong: breakdown of perturbation theory



Triviality and true m_{Higgs} upper bound

$$4\text{-d } \lambda\phi^4 \quad \mathcal{L} = \frac{1}{2}m^2\phi^2 + \frac{1}{24}\lambda\phi^4 + \frac{1}{2}(\partial_\mu\phi)^2 \quad \lambda, m^2 \text{ bare}$$

Regulate the theory e.g. lattice cut-off $\Lambda = \pi/a$

Measurements in lattice units e.g. $v_{\text{R}}a, m_{\text{Higgs}}a$

Critical curve for 2nd order phase transition

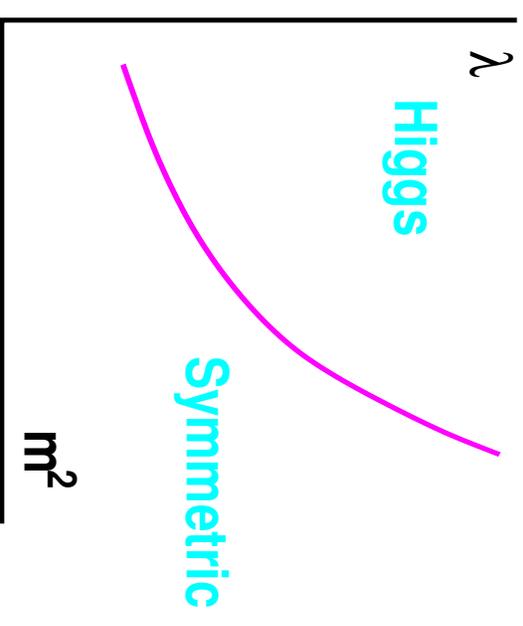
$$v_{\text{R}}a \rightarrow 0 \quad \xi/a = 1/(m_{\text{Higgs}}a) \rightarrow \infty$$

Use $v_{\text{R}} = 246 \text{ GeV}$ to convert cut-off into physical units

In Higgs phase, close to boundary $\Lambda/v_{\text{R}} = \pi/v_{\text{R}}a \rightarrow \infty$

Cut-off theory physically acceptable only if Λ/v_{R} sufficiently large

What is maximal $m_{\text{Higgs}}/v_{\text{R}}$ if Λ/v_{R} held fixed? (Dashen and Neuberger 1983)



Triviality and true m_{Higgs} upper bound

$$\lambda_R = 3m_{\text{Higgs}}^2/v_R^2 \propto 1/\ln(\Lambda/v_R)$$

$$\lambda_R \rightarrow 0 \text{ as } \Lambda/v_R \rightarrow \infty$$

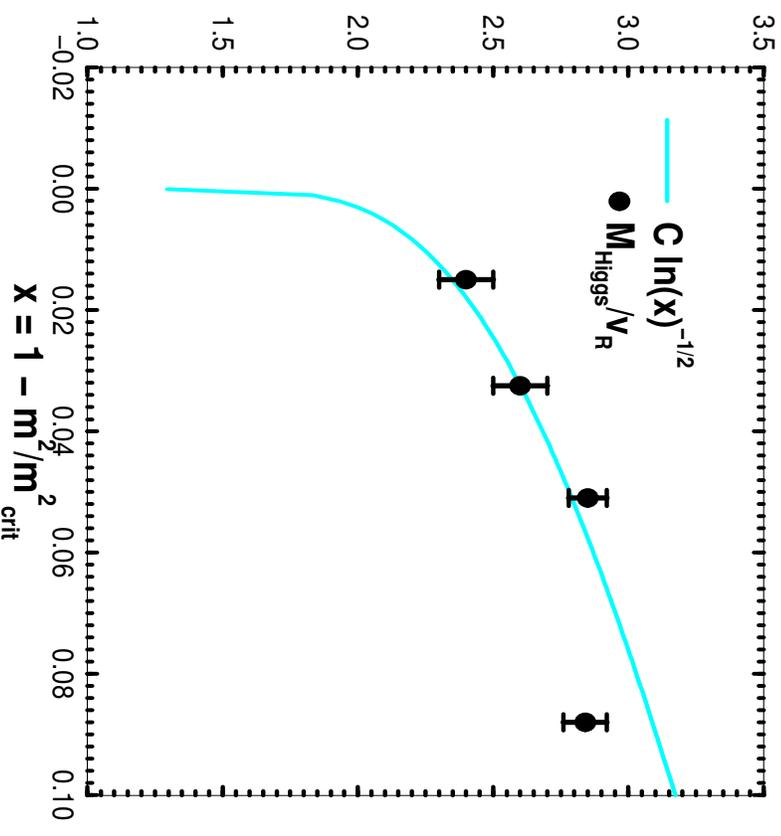
Non-interacting **TRIVIAL** theory

Interaction requires finite Λ/v_R

$$x = 0.1 \Rightarrow \Lambda/v_R \approx 8 \quad m_{\text{Higgs}}/v_R \approx 2.8$$

- For fixed Λ/v_R , m_{Higgs}/v_R largest when $\lambda \rightarrow \infty$ **non-perturbative**

- For $\xi/a = 1/(m_{\text{Higgs}}a) \geq 2$, violation of Euclidean invariance less than a few % (Lüscher and Weisz 1989)



Kuti et al. (1988) 4-d $O(4)$ $\lambda\phi^4$

$\Lambda \sim 4 \text{ TeV}$, $m_{\text{Higgs}} < 600 - 700 \text{ GeV}$ $\Lambda \sim 10^{19} \text{ GeV}$, $m_{\text{Higgs}} < 140 - 150 \text{ GeV}$
Regulator-Dependent (Kuti,Neuberger,Lüscher,Bhanot,Jansen,Hasenfratz,Weisz,...)

Vacuum instability

Higgs–Yukawa system

N_F degenerate fermions

Higgs effective potential $V_{\text{eff}}(\phi)$

$$V_{\text{eff}}(\phi) = \frac{1}{2}m^2\phi^2 + \frac{1}{24}\lambda\phi^4 + \frac{1}{2}\int_k \ln[1 + \lambda\phi^2/2k^2] - 2N_F \int_k \ln[1 + y^2\phi^2/k^2]$$

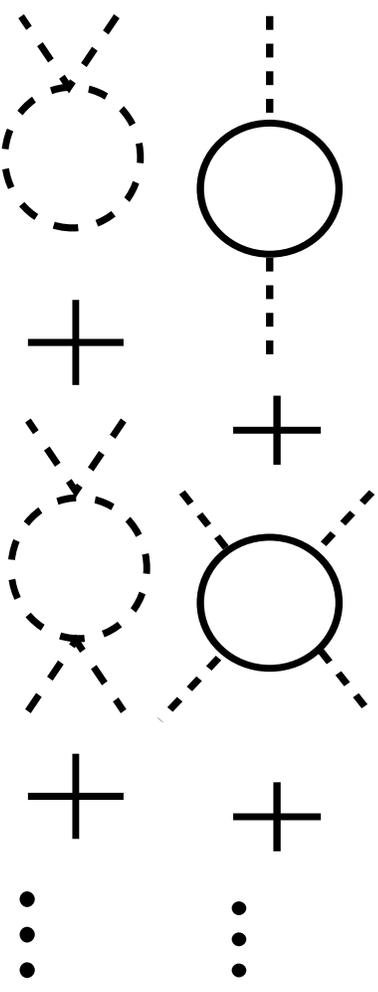
After renormalization (regulate, counter-terms, send cut-off $\rightarrow \infty$)

$$V_{\text{eff}}^R(\phi_R) = -\frac{1}{4}m_R^2\phi_R^2 + \frac{1}{24}\lambda_R\phi_R^4 + \{\phi_R^4 \ln[\phi_R^2/\mu^2]/16\pi^2\} \{\lambda_R^2/16 - N_F y_R^4\}$$

If $\lambda_R \ll y_R^2$, $V_{\text{eff}}^R(\phi_R)$ unstable at large ϕ_R due to negative fermion term

Does not require λ_R or y_R^2 to be large — **perturbative** (unlike Landau pole)

[Linde](#), [Politzer](#), [Wolfram](#), [Cabibbo](#), [Maiani](#), [Parisi](#), [Altarelli](#), [Sher](#), [Casas](#), [Espinosa](#), [Quiros](#),...



Vacuum instability

2-loop SM calculation $V_{\text{eff}}^{\text{R}}(\phi_{\text{R}})$ (solid line)

If $m_{\text{Higgs}} = 52 \text{ GeV}$, $V_{\text{eff}}^{\text{R}}$ valid for $\phi_{\text{R}} \leq 1 \text{ TeV}$

Position of $V_{\text{eff}}^{\text{R}}$ instability varies with m_{Higgs}

m_{Higgs} lower bound if SM valid up to Λ

Equivalent: RG flow for Higgs-Yukawa

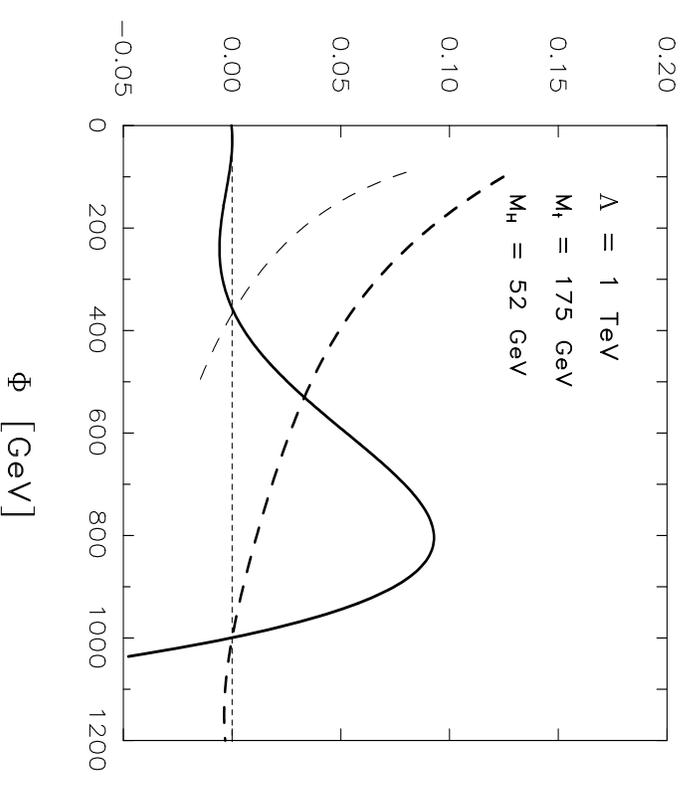
$$\mu(d\lambda_{\text{R}}/d\mu) = \frac{1}{16\pi^2}(3\lambda_{\text{R}}^2 + 8N_{\text{F}}\lambda_{\text{R}}y_{\text{R}}^2 - 48N_{\text{F}}y_{\text{R}}^4)$$

$$\mu(dy_{\text{R}}^2/d\mu) = \frac{1}{8\pi^2}(3 + 2N_{\text{F}})y_{\text{R}}^4$$

If $\lambda_{\text{R}} \ll y_{\text{R}}^2$, $\beta_{\lambda} < 0$ $\lambda_{\text{R}} = 0$ at $\mu = \Lambda$

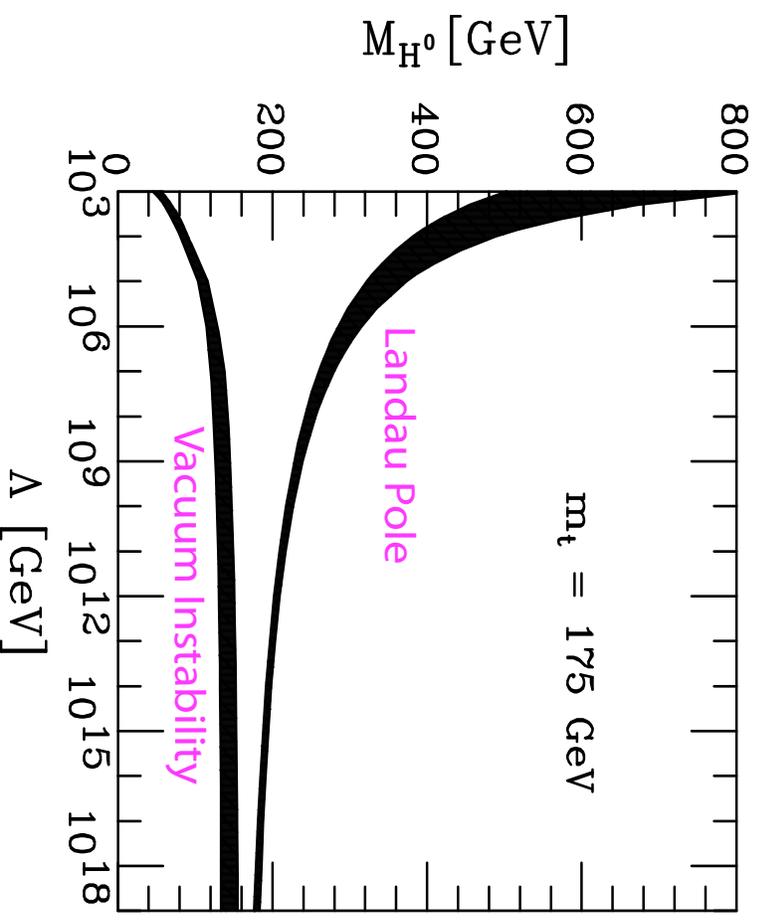
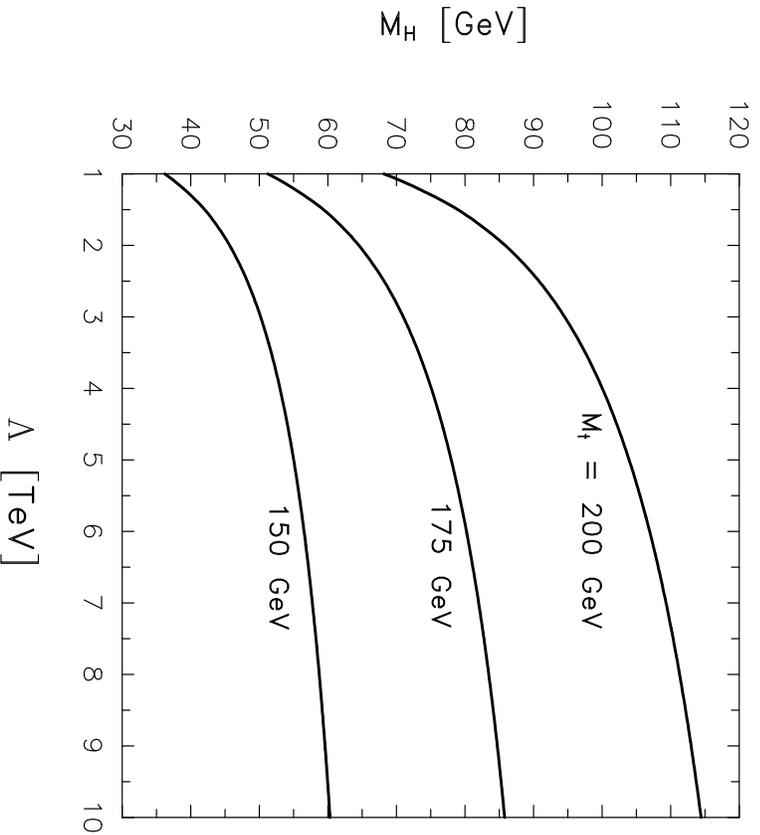
$\lambda_{\text{R}}(\mu = c\phi_{\text{R}})$ (thick dashed line)

Vacuum instability appears when λ_{R} vanishes



Casas, Espinosa & Quiros (1996)

m_{Higgs} **lower bound from vacuum instability**



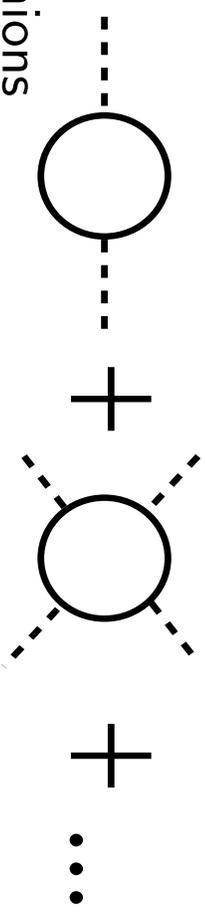
Casas et al. (1996) Theoretical error < 5 GeV similar error from m_{Top} and α_S

A light Higgs would mean SM valid only up to $10^2 - 10^3$ TeV

Strong and precise statement — but is it correct? What about Triviality?

Higgs-Yukawa and Large N_F

Large N_F limit of Higgs-Yukawa system



Lore: Vacuum still unstable, due to fermions

Explore phase diagram of regulated theory

bare parameters λ, y, m^2

Define renormalized couplings

$$y_R \equiv m_{\text{Top}}/v_R$$

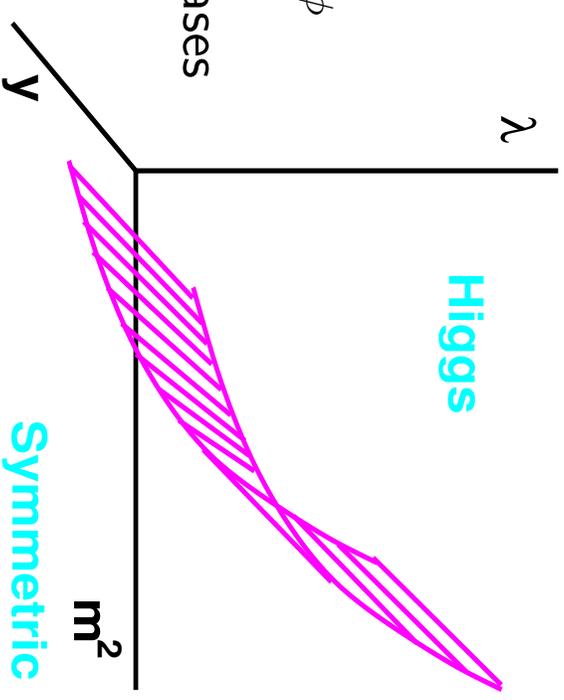
$$\lambda_R \equiv 3m_{\text{Higgs}}^2/v_R^2$$

$$G_{\Psi\Psi}^{-1}(0) = m_{\text{Top}}$$

$$G_{\phi}^{-1}(p^2) = (m_{\text{Higgs}}^2 + p^2)/Z_{\phi}$$

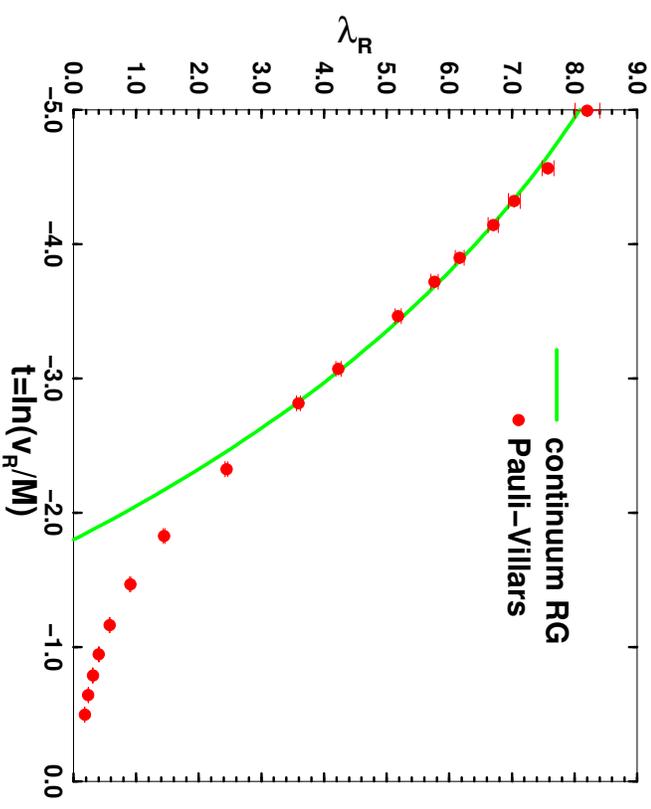
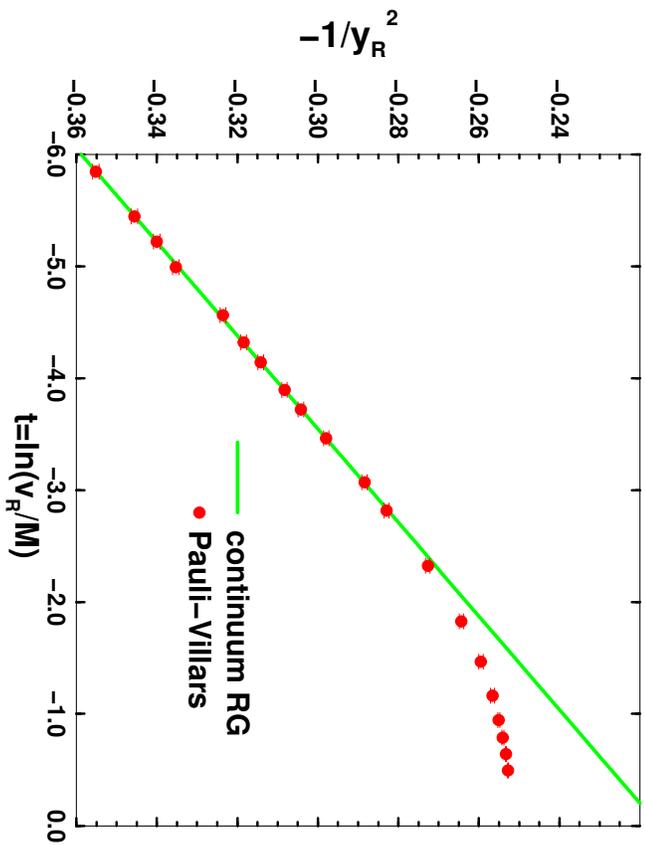
Critical surface between Higgs and Symmetric phases

Moving around phase diagram, y_R and λ_R vary according to RG flow



Should see vacuum instability from $\lambda_R = 0$

Vacuum instability is fake



Large N_F limit

perturbation theory

Pauli-Villars regulator with mass M

continuum RG

$$-1/y_R^2 = (N_F/4\pi^2)t - 1/y_1^2$$

$$\lambda_R = 12y_R^2 + c_1 y_R^4$$

$$t = \ln(v_R/M)$$

Remove cut-off

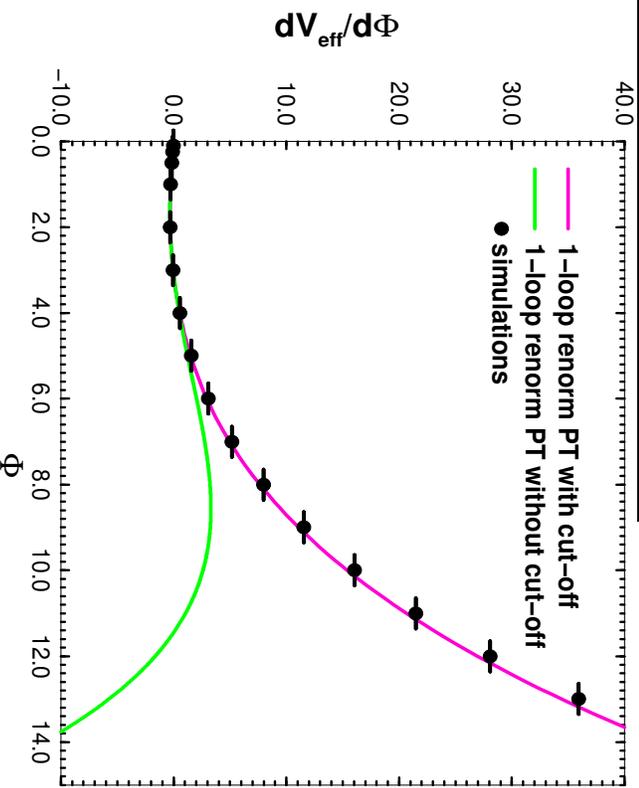
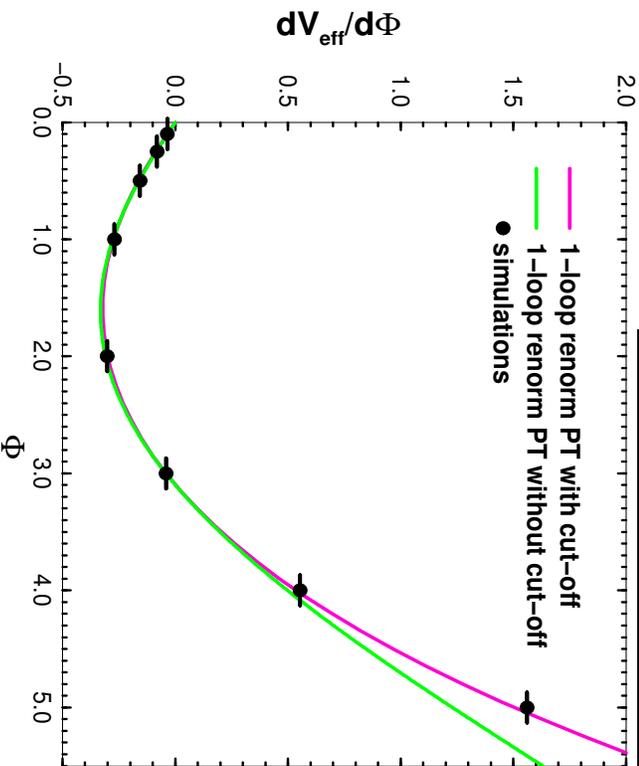
$$t = \ln(v_R/M) \rightarrow -\infty$$

$$y_R, \lambda_R \rightarrow 0$$

TRIVIAL

For $v_R/M \sim 1$, RG flow is NOT continuum-like — cut-off effects important
 Vacuum instability ($\lambda_R < 0$) appears only when cut-off effects ignored

Constraint effective potential V_{eff}^C



Finite volume Ω $\exp(-\Omega V_{\text{eff}}^C(\Phi)) = \int [D\phi] \delta(\Phi - 1/\Omega \sum_x \phi(x)) \exp(-S[\phi])$

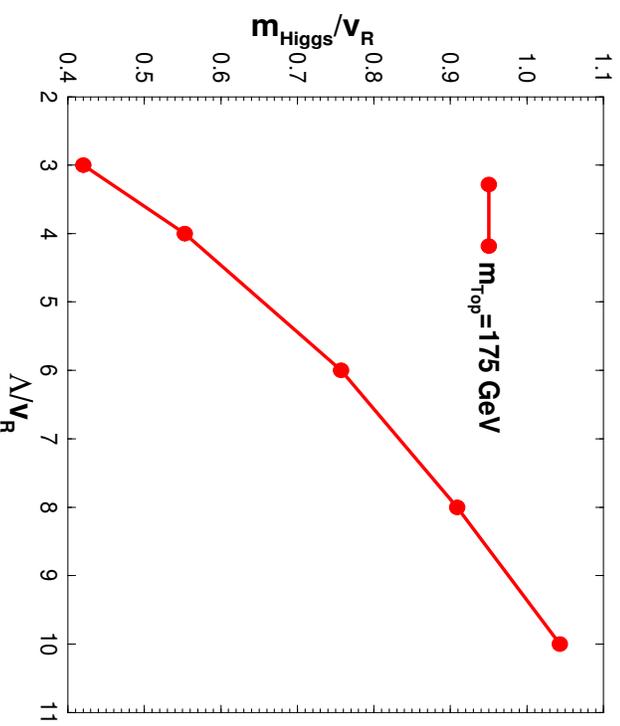
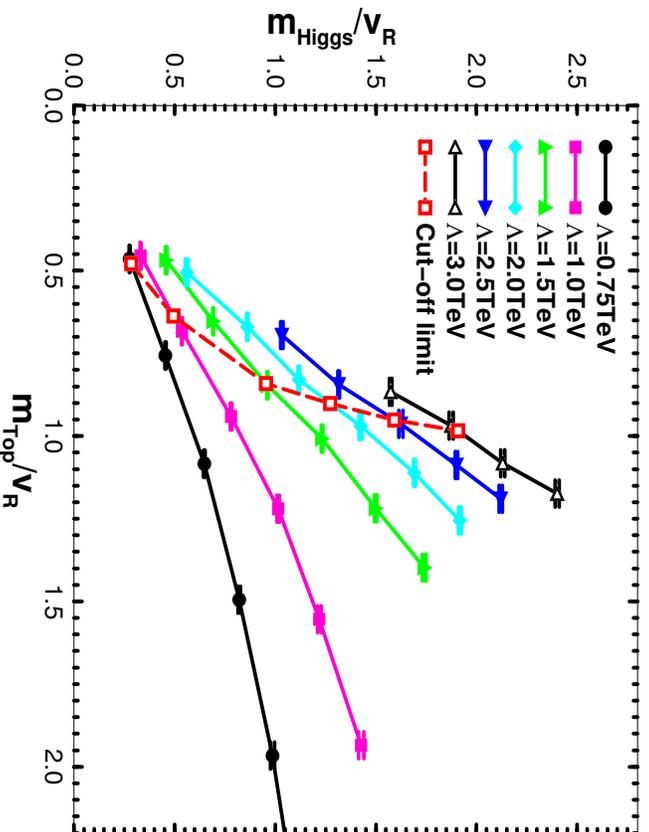
V_{eff}^C not convex absolute minimum for finite Ω can measure V_{eff}^C on lattice

Figures: Higgs–Yukawa model single ϕ $N_F = 8$ $dV_{\text{eff}}^C/d\Phi$ from lattice

Higgs phase $\Rightarrow V_{\text{eff}}^C$ minimum for $\Phi \neq 0$ V_{eff}^C not unstable at large Φ

Perturbation theory fails when cut-off effects ignored vacuum instability fake

Triviality and true m_{Higgs} lower bound



Higgs-Yukawa model with $N_F = 8$

summary of lattice simulations

1. For fixed m_{Top} and Λ/v_R , m_{Higgs} smallest when $\lambda = 0$ (recall upper bound saturated at $\lambda = \infty$)
2. Cut-off limit: $\xi/a = 1/m_{\text{phys}} a \geq 2 \Rightarrow$ cut-off effects (violation of Euclidean invariance) acceptably small — left of dashed line

m_{Higgs} lower bound for fixed m_{Top} m_{Top} UPPER bound for fixed m_{Higgs}

Bounds are regulator-dependent

Large N_F limit of Higgs–Yukawa model

Choose regulator for perturbation theory

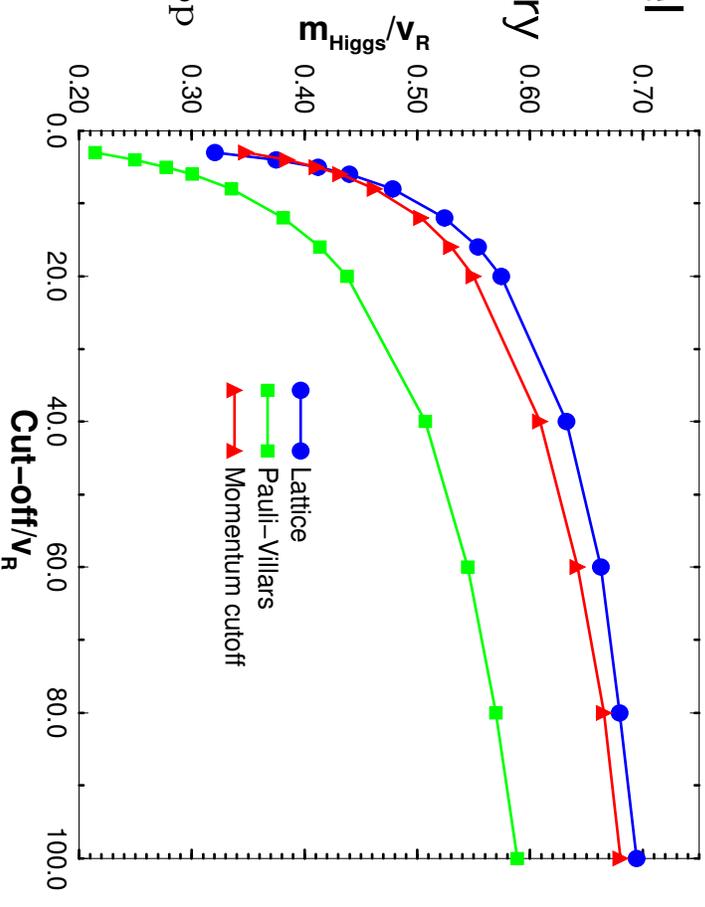
1. Lattice
2. Pauli-Villars
3. Hard momentum cut-off

Figure: m_{Higgs} lower bound, fixed m_{Top}

Finite cut-off $\Lambda \Rightarrow$ loss of **Universality**

Lower bound varies with regulator even for Λ/v_R large

e.g. if $m_{\text{Higgs}}/v_R = 0.5 \Rightarrow \Lambda/v_R \sim 10 - 40$



Pure Higgs $m_{\text{Higgs}}/\Lambda = C_\Lambda (\beta_1 \lambda_R)^{-\beta_1/\beta_0^2} \exp(-1/\beta_0 \lambda_R)$ C_Λ not universal

Cannot make m_{Higgs} bounds arbitrarily precise AND regulator-independent

Inherently fuzzy — unavoidable aspect of Triviality

Conclusions

- Higgs-Yukawa model: Large N_F limit, lattice simulations
- RG flow of λ_R, y_R non-perturbative measurement of constraint V_{eff}^C
- Vacuum instability is fake — comes from ignoring cut-off effects
- Determine m_{Higgs} lower bound by exploring phase diagram non-perturbatively
- Lower bound attained when $\lambda = 0$ (upper bound for $\lambda = \infty$)
- Bounds have a blur — regulator-dependent
- **Proposal:** lattice study of gluon-Higgs-Top system
 - most important pieces: $O(4)$ Higgs, single fermion flavor, α_S
 - remainder of SM has small effect, might be included perturbatively
- **Where does SM break down?** We don't know — Lattice can decide

Constraint effective potential $V_{\text{eff}}^{\text{C}}$

Renormalized potential with counter-terms and cut-off Λ

$$V = m^2\phi^2/2 + \lambda\phi^4/24$$

$$\begin{aligned} V_{\text{eff}}^{\text{C}} &= V + 1/2 \int_{k \neq 0}^{\Lambda} \ln[1 + V''/k^2] - 2N_{\text{F}} \int_{k \neq 0}^{\Lambda} \ln[1 + y^2\phi^2/k^2] \\ &\quad - 1/2 \int_{k \neq 0}^{\Lambda} V'''/k^2 + 1/4 \int_{k \neq 0}^{\Lambda} (V''')^2/[k^2 + \mu^2]^2 \\ &\quad + 2N_{\text{F}} \int_{k \neq 0}^{\Lambda} y^2\phi^2/k^2 - N_{\text{F}} \int_{k \neq 0}^{\Lambda} y^4\phi^4/[k^2 + \mu^2]^2 \end{aligned}$$

Naïve and wrong calculation $\Lambda \rightarrow \infty$

$$V_{\text{eff}}^{\text{C}} = V + \{\ln[\phi^2/\mu^2]/64\pi^2\} \{(V'')^2 - 4N_{\text{F}}y^4\phi^4\}$$

Ignores Triviality: $\lambda, y \rightarrow 0$ as $\Lambda \rightarrow \infty$